

Earthquake Effects on Critical Infrastructure

Tom O'Rourke
Thomas R. Briggs Professor
of Engineering
Cornell University



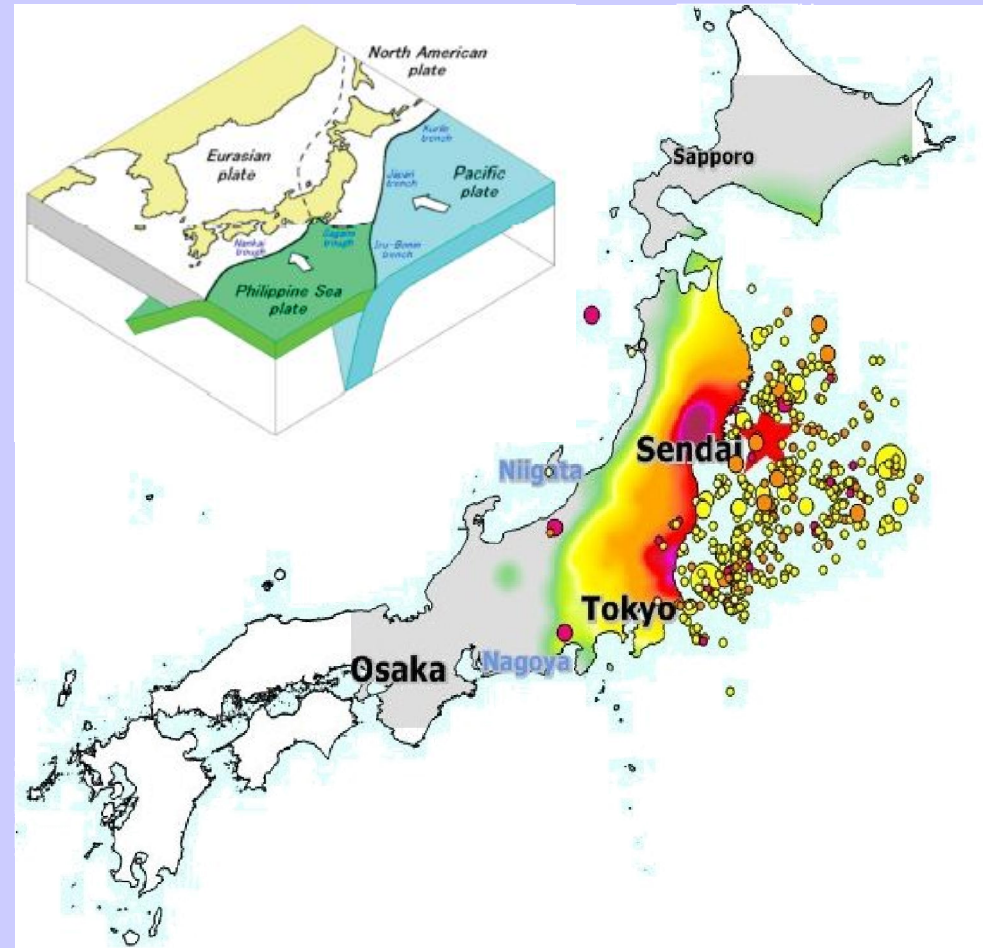
TOPICS

- “ **Tohoku Earthquake**
- “ **Canterbury EQ Sequence**
- “ **San Francisco**
- “ **Los Angeles**
- “ **Concluding Remarks**

TOHOKU EARTHQUAKE

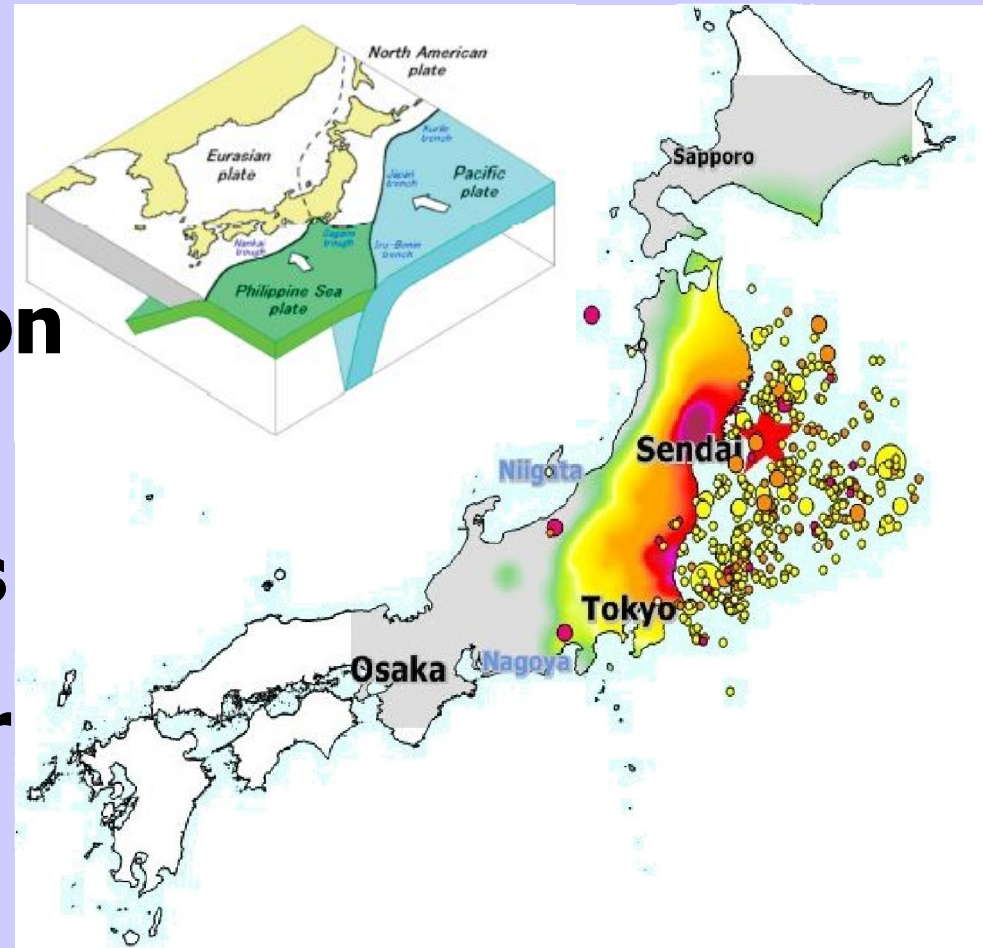
“ 9.0 Mw (\sim 4th Largest EQ Measured)

- 25 cm Shift in Earth's Axis
- 1000 x more power than 1995 Kobe EQ
- 600 million x more power than Hiroshima bomb



TOHOKU EARTHQUAKE

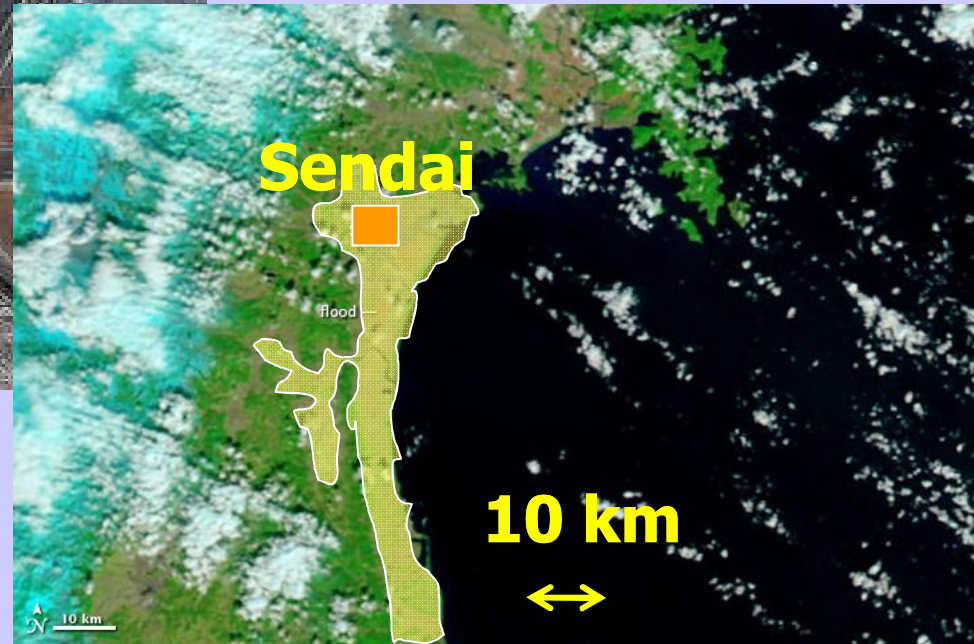
- **15,870 Deaths**
- **2,814 Missing**
- **129,225 Buildings Destroyed; > 1 Million Heavily Damaged**
- **\$235 B Direct Losses**
- **~ \$620 B for Nuclear Decontamination & Decommissioning**



TOHOKU TSUNAMI



- ” Inundation = 561 km²
- ” Tsunami Heights = 3 to 7.3 m
- ” 50 km Run-up on Kitakami River



- ” 190 of 300 km Seawalls Heavily Damaged

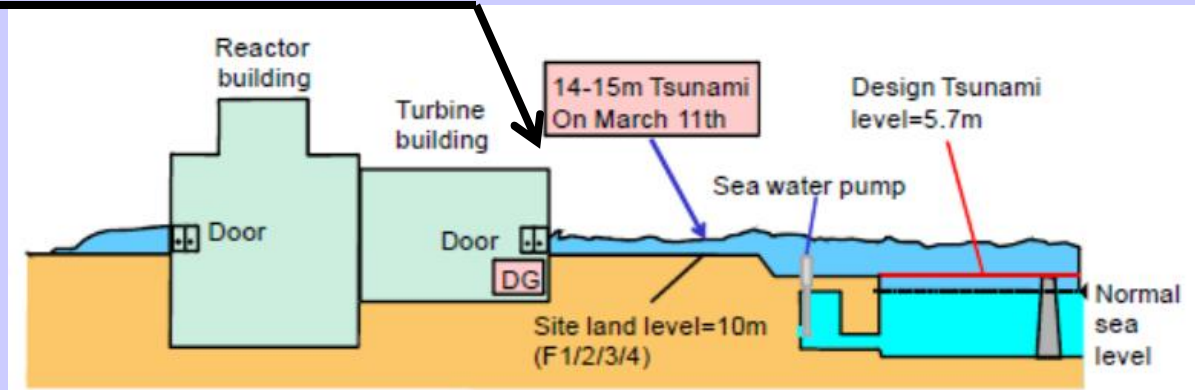
ONAGAWA TSUNAMI



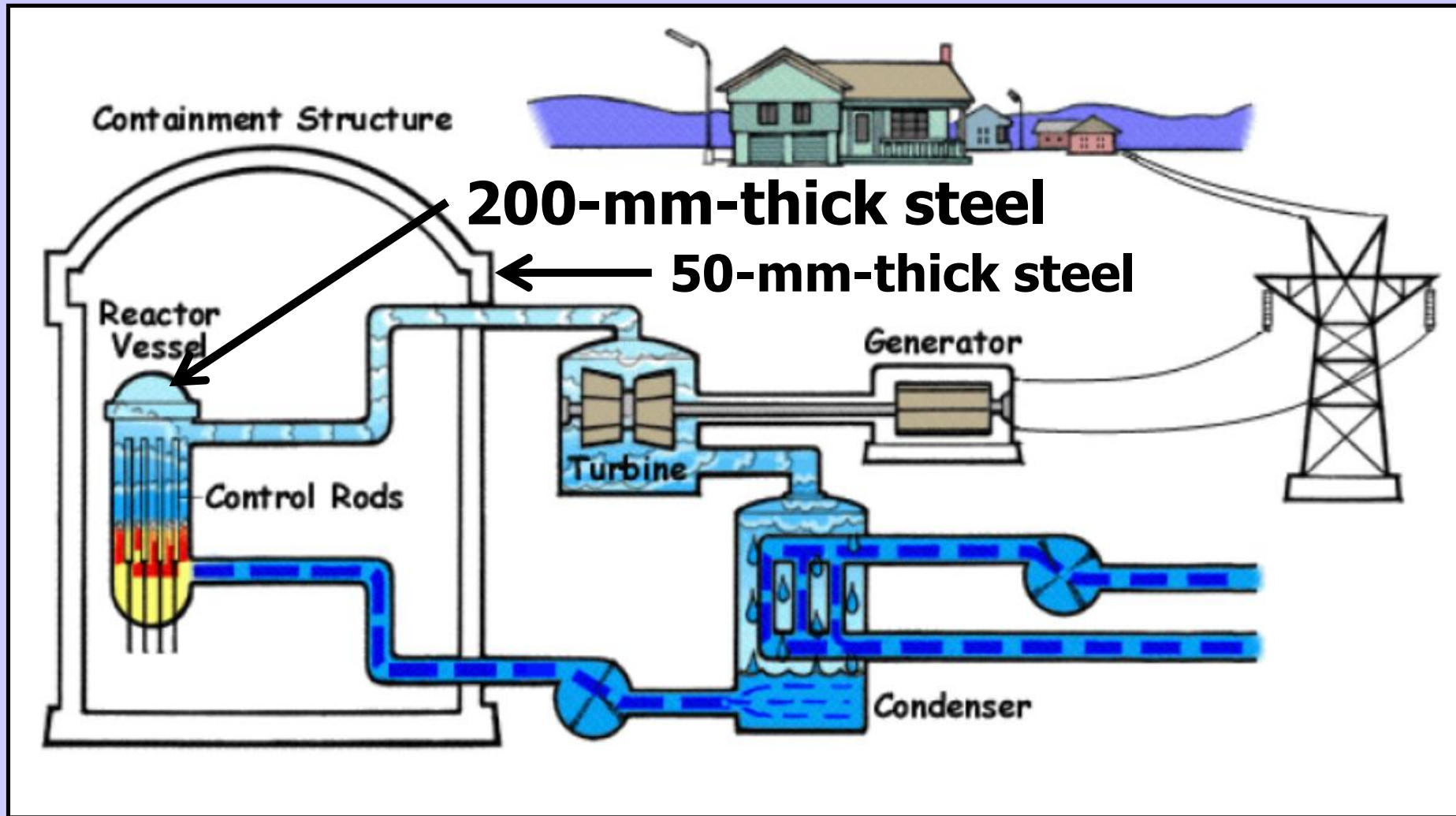
**Height of
Water**

FUKUSHIMA DAIICHI NUCLEAR PLANT

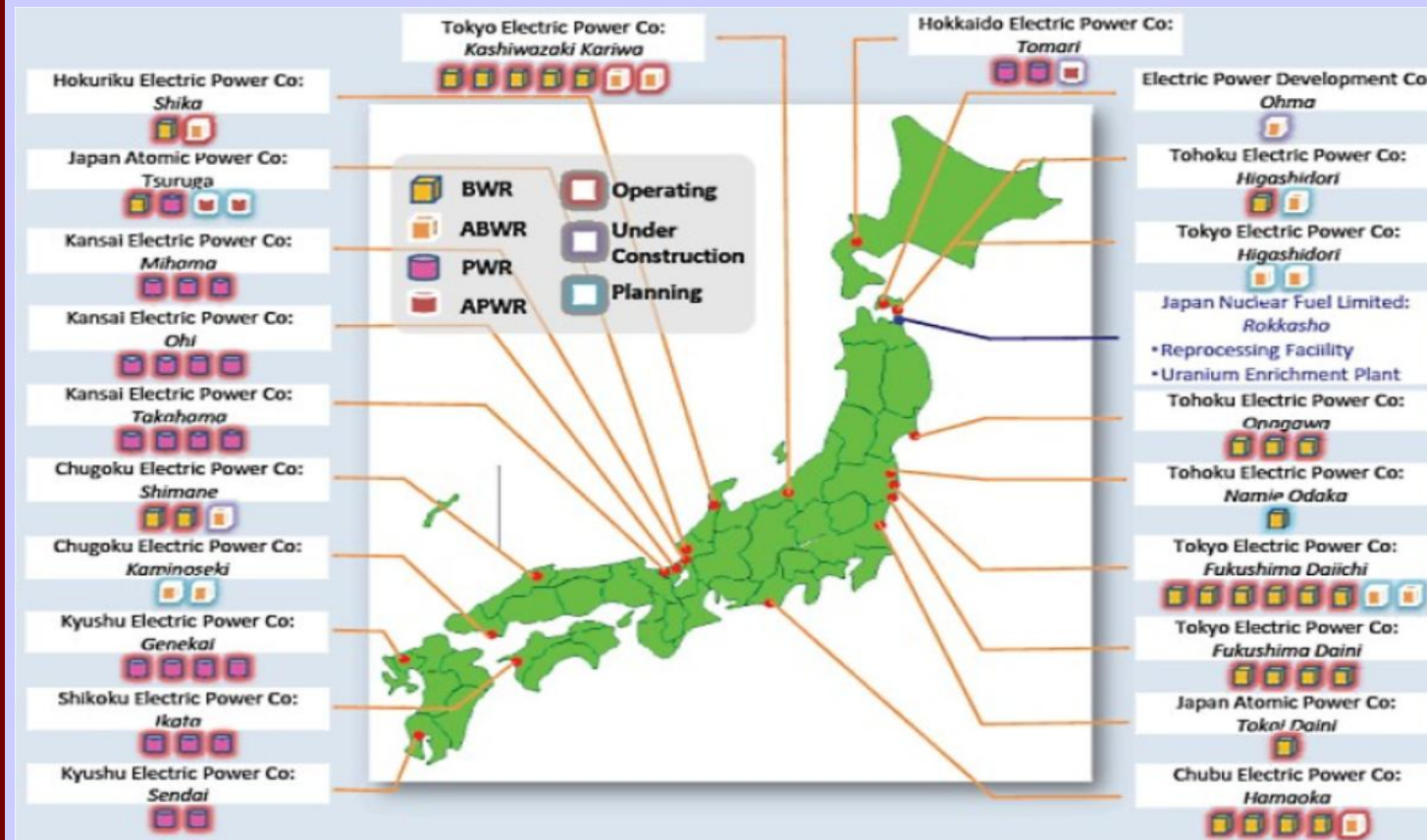
46-m-high splash



BOILING WATER REACTOR



JAPAN'S NUCLEAR POWER PLANTS



“ On 5 May 12 all 54 nuclear reactors down working, or 0 % of total nuclear; only 2 reactors on line now



- “ Japan imports 84% of energy
- “ Nuclear reactors supplied ~ 30% electricity
- “ Japan planned to increase to 40% by 2017
- “ Nuclear was part of CO2 reduction strategy

WORLDWIDE EFFECTS: NUCLEAR POWER



- **Germany to Close Out Nuclear Power (22.4% Electricity, 2010)**
- **Switzerland to Terminate Nuclear (40% Electricity, 2008)**
- **Italy Referendum (2011): > 94% Voters Oppose Plans to Resume Nuclear Power (abandoned 1980s)**

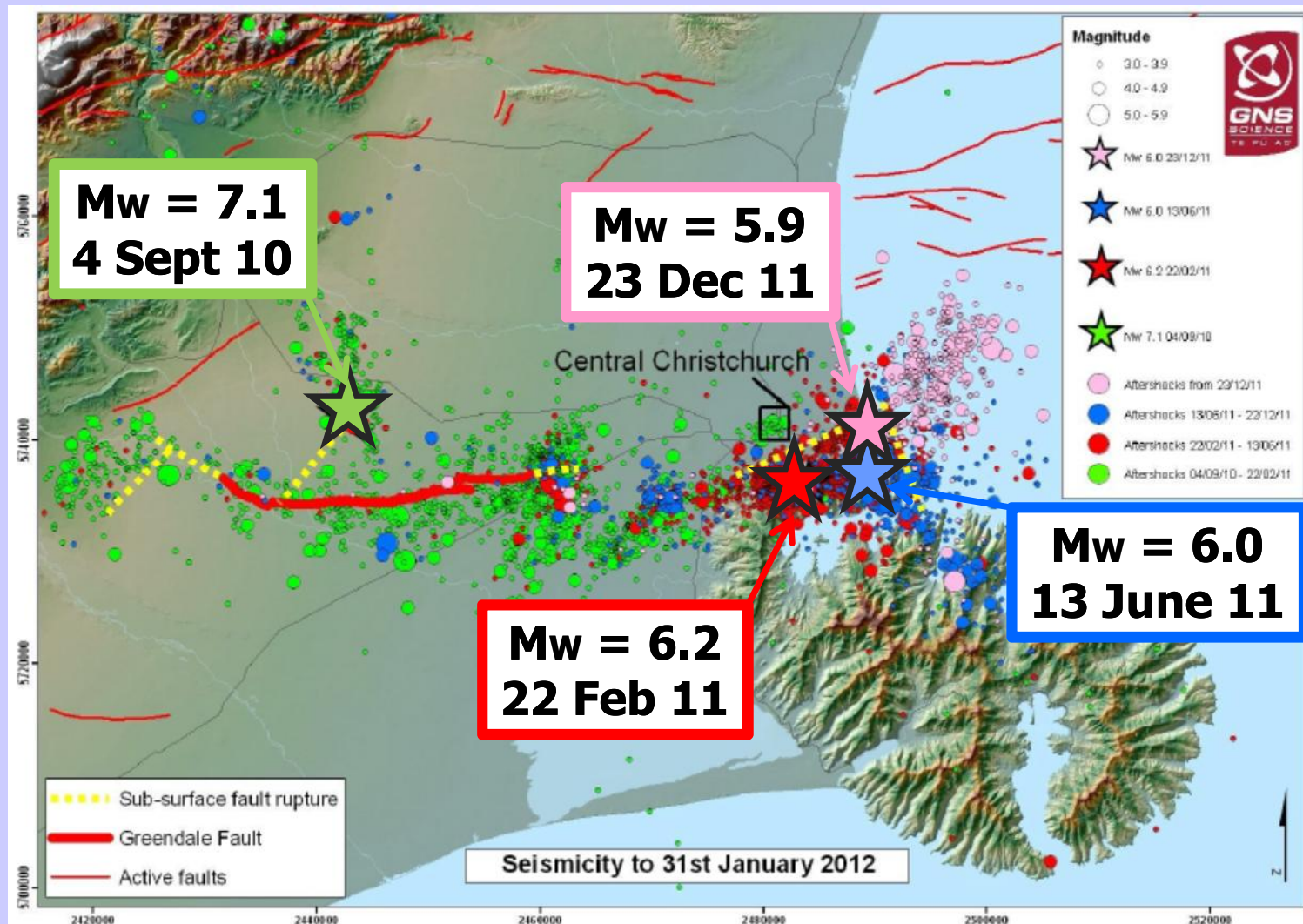
LESSONS LEARNED FROM TOHUKU EARTHQUAKE

- “ **Some Infrastructure Is Too Big to Fail**
- “ **Global Consequences of Failure**
- “ **Design for Contingencies (Consequences) As Well As Events**

NEW ZEALAND

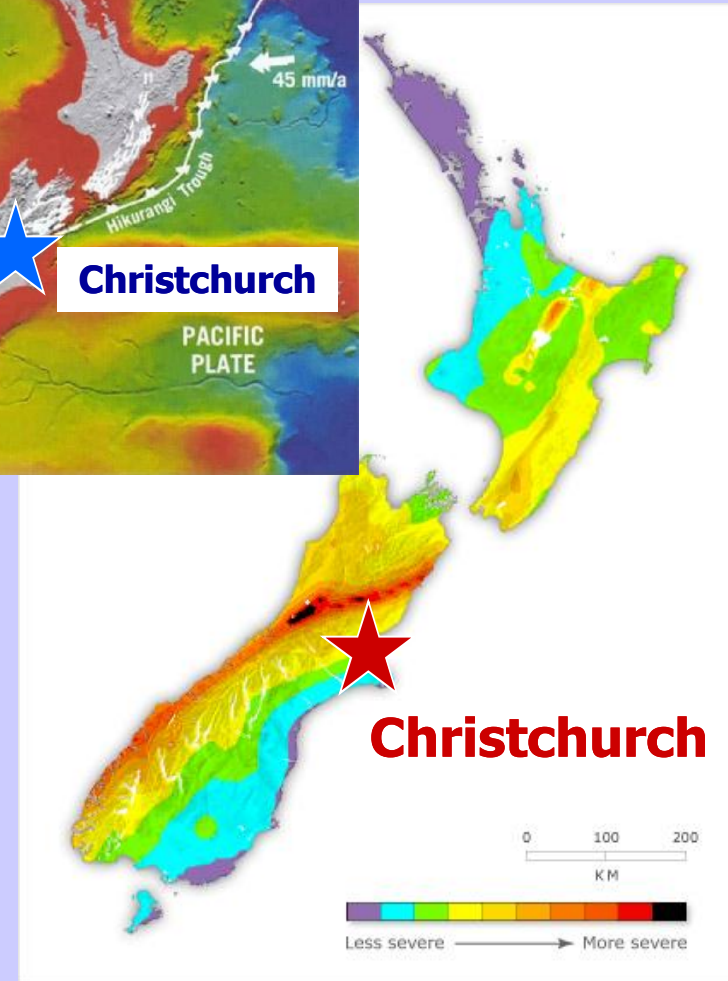
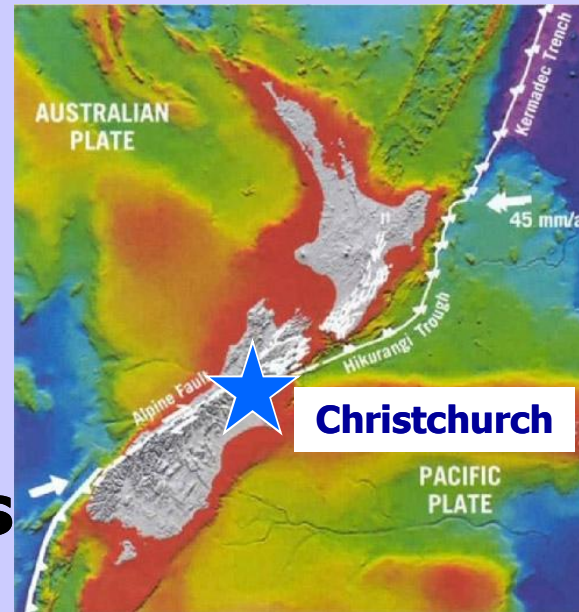


CANTERBURY EARTHQUAKE SEQUENCE

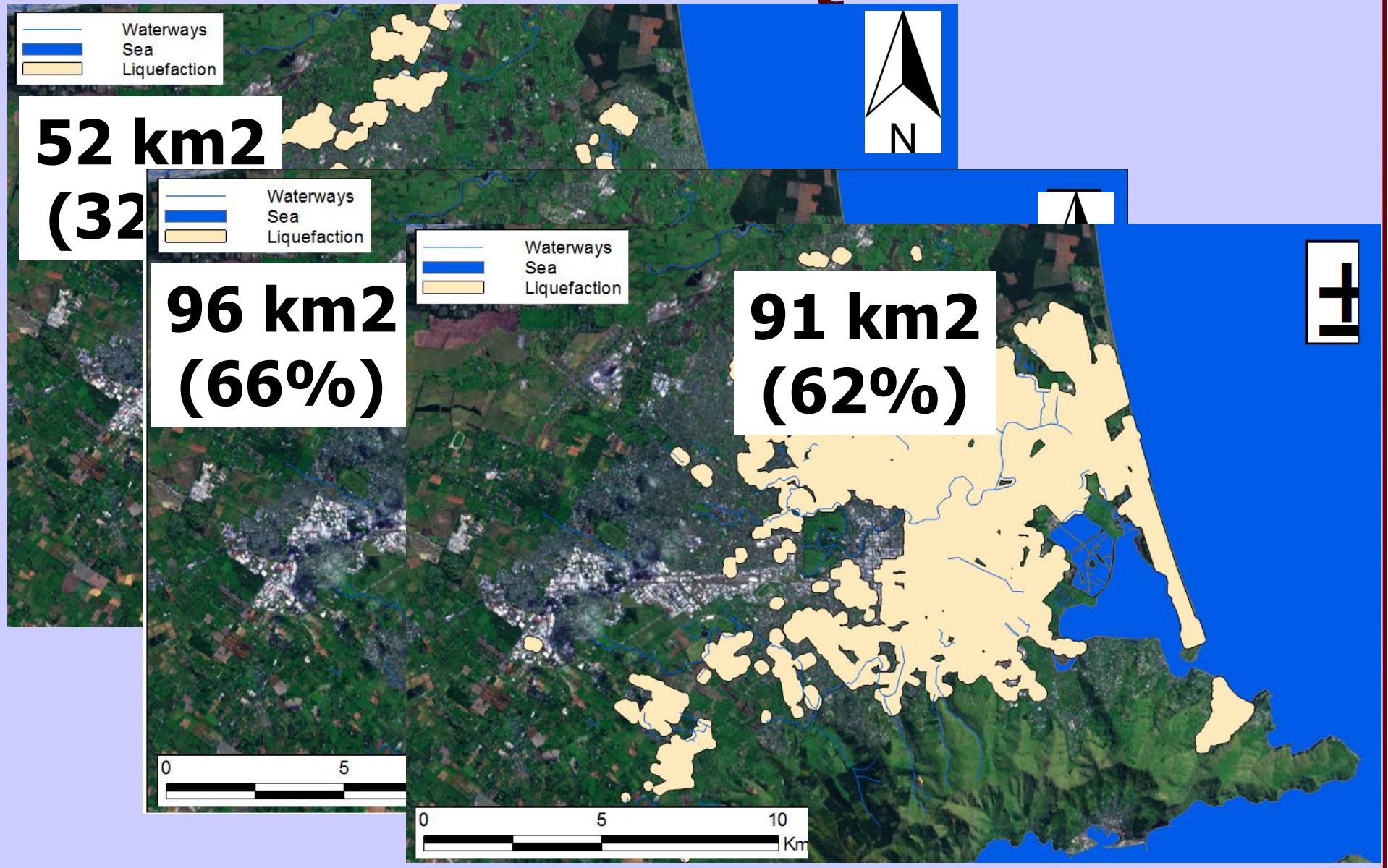


CANTERBURY EARTHQUAKE SEQUENCE

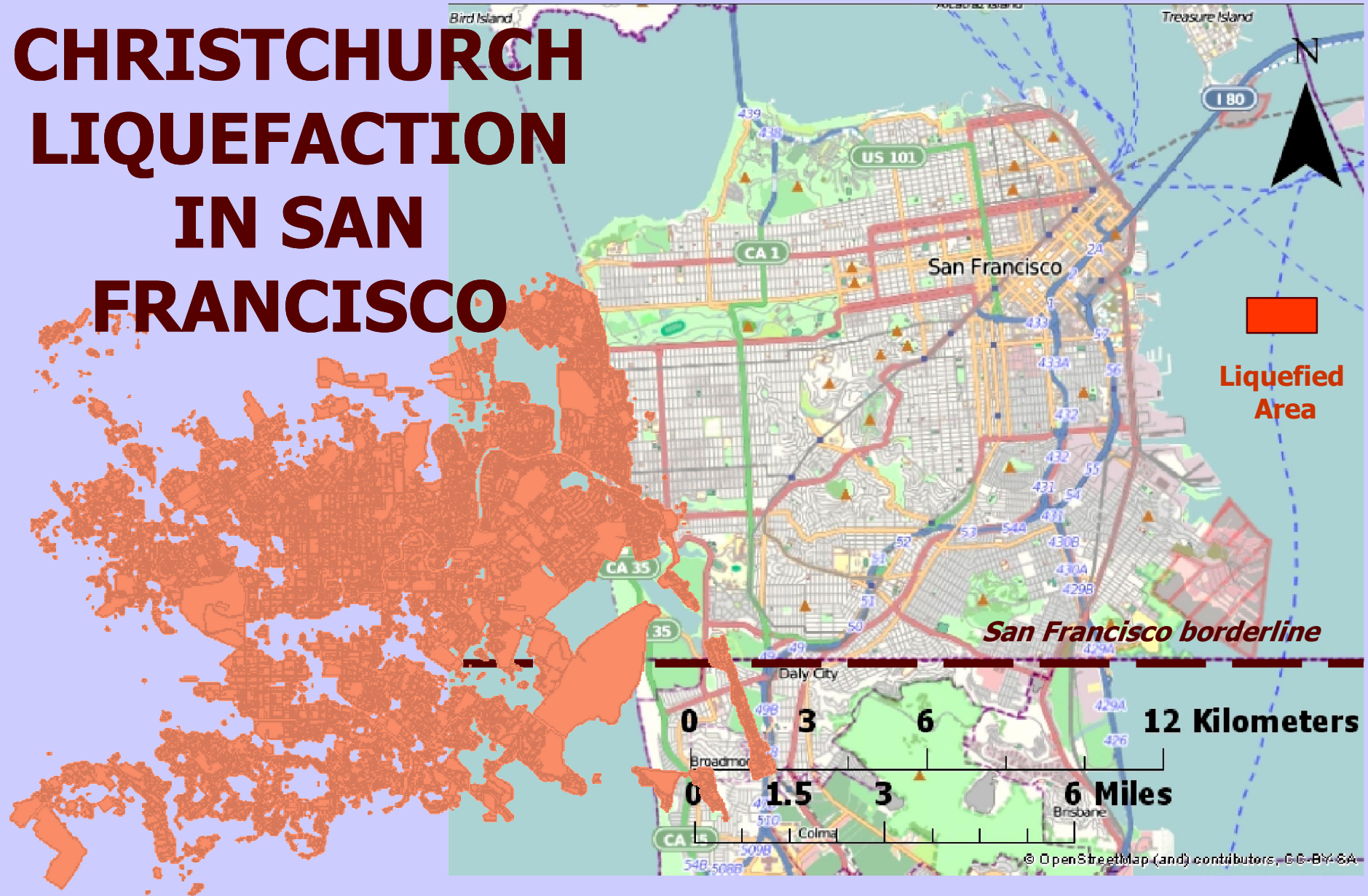
- ~ 182 Deaths
- CBD Destroyed
 - ~ 1800 CBD Bldgs. Demolished
 - ~ 55,000 Residences Damaged
- \$25-30 B Direct Losses, ~25 % GDP
- Massive Liquefaction & Infrastructure Damage



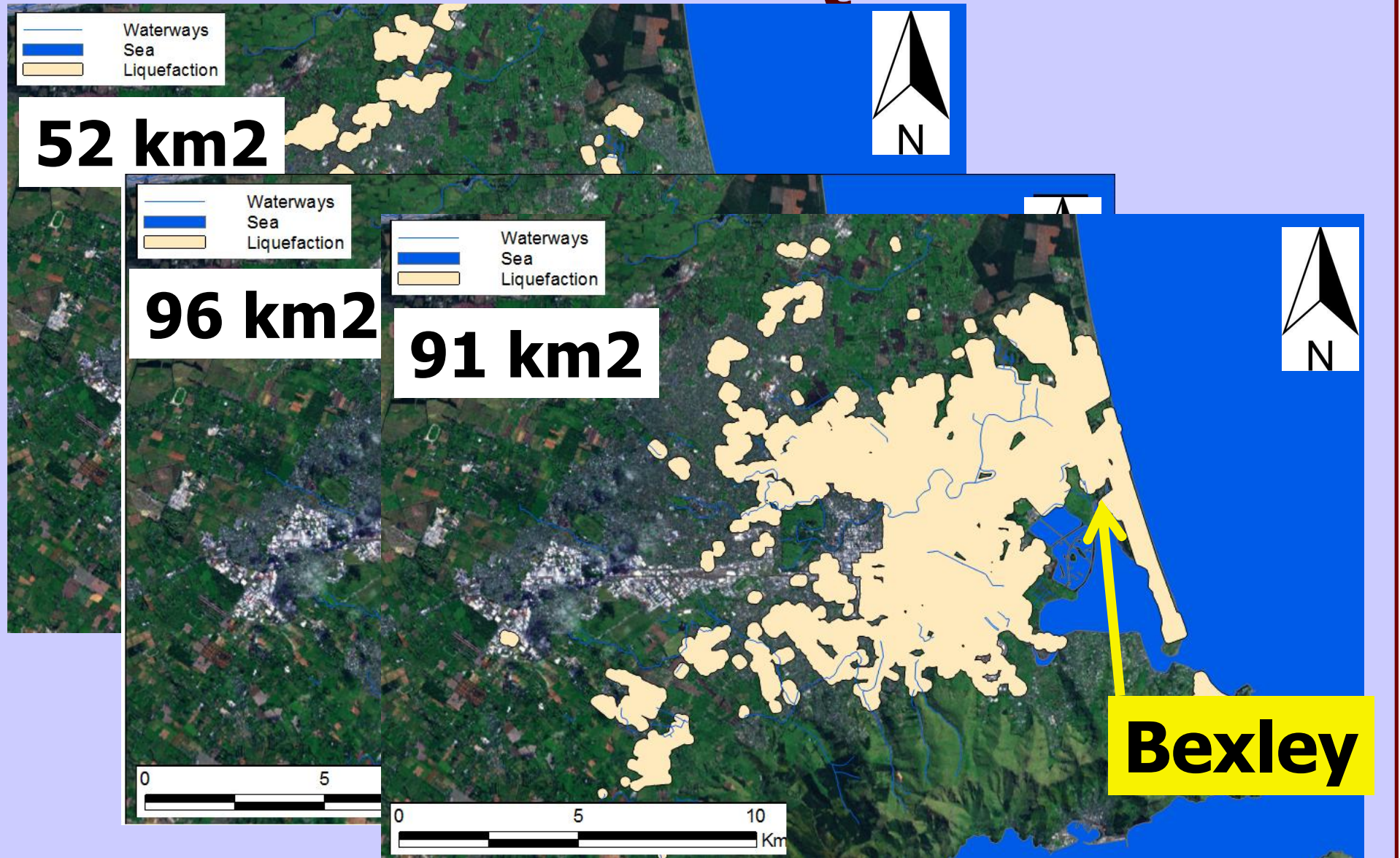
CHRISTCHURCH LIQUEFACTION



CHRISTCHURCH LIQUEFACTION IN SAN FRANCISCO



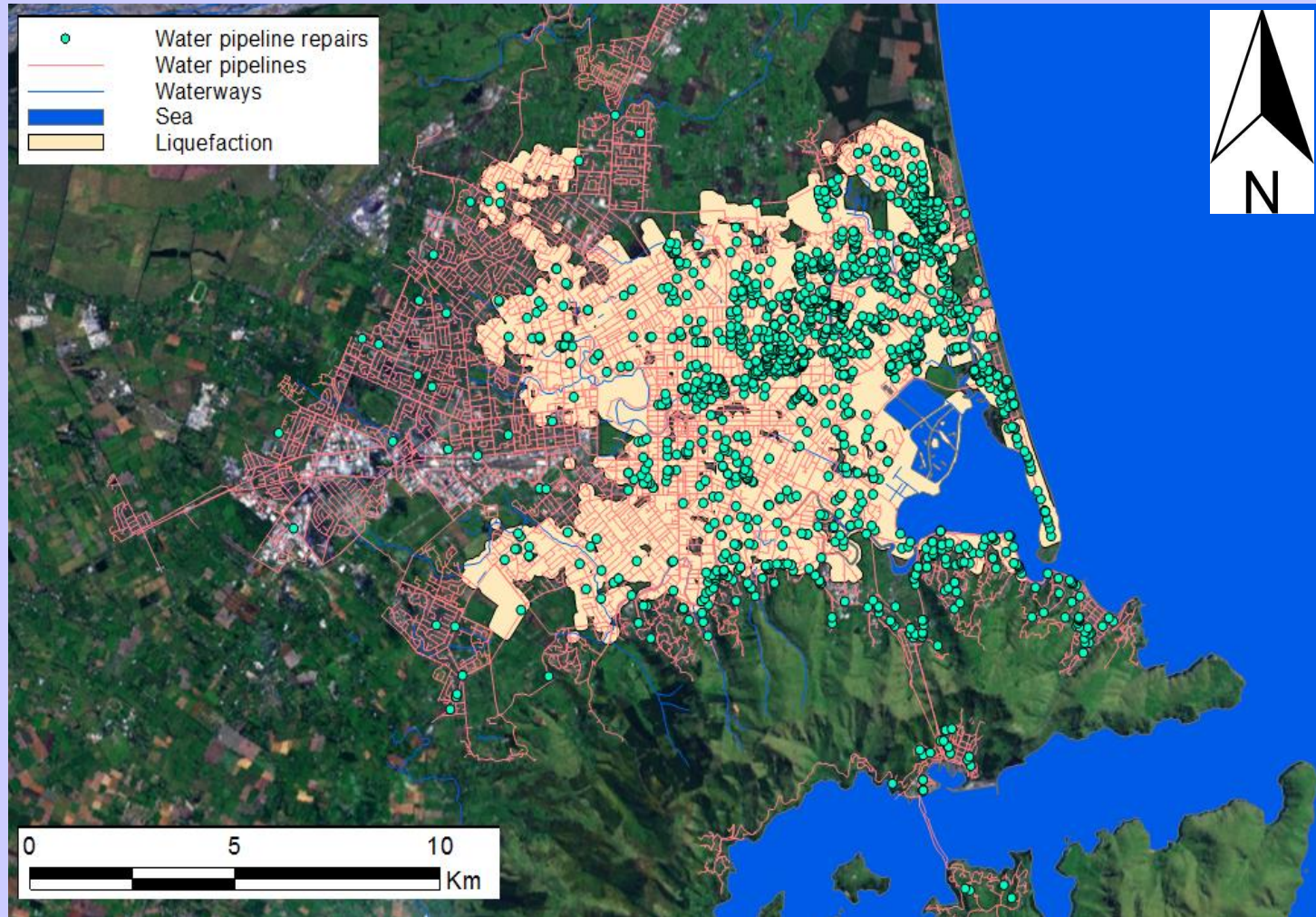
CHRISTCHURCH LIQUEFACTION



CHRISTCHURCH LIQUEFACTION



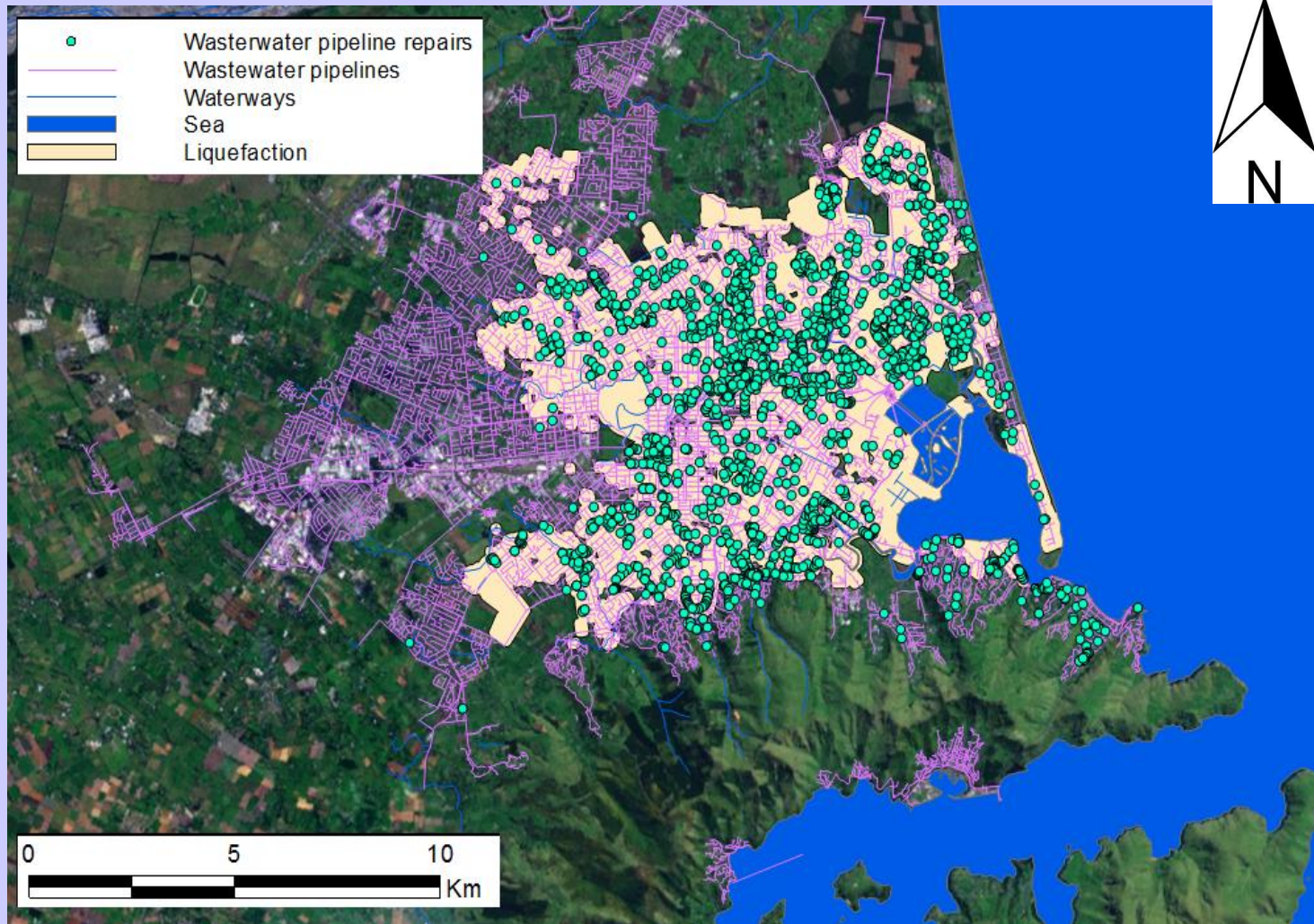
WATER MAIN REPAIRS FOR 22 FEB 2011 EARTHQUAKE



“ **1645**
repairs
to mains
& sub-
mains

“ **Approx.**
1700
km of
pipelines

REPAIRS IN WASTEWATER SYSTEM AFTER 22 FEB 2011 EARTHQUAKE



” **1200**
repairs
to pipes

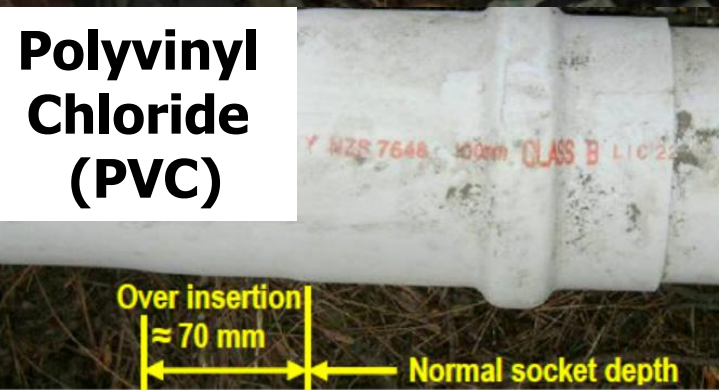
” **Approx.**
1900
km of
pipes &
conduits

EARTHQUAKE PIPELINE DAMAGE

**Asbestos
Cement (AC)**



**Polyvinyl
Chloride
(PVC)**



Cast Iron (CI)



Concrete (CONC)

WATER MAINS & SUBMAINS

“ Mains

“ Typically 75 – 600 mm diameter

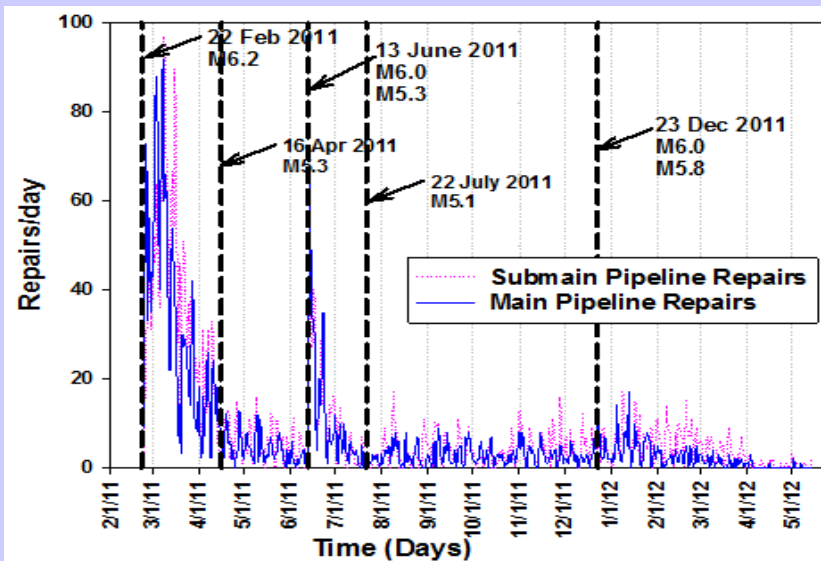
“ Primary water conveyance pipelines

“ Submains

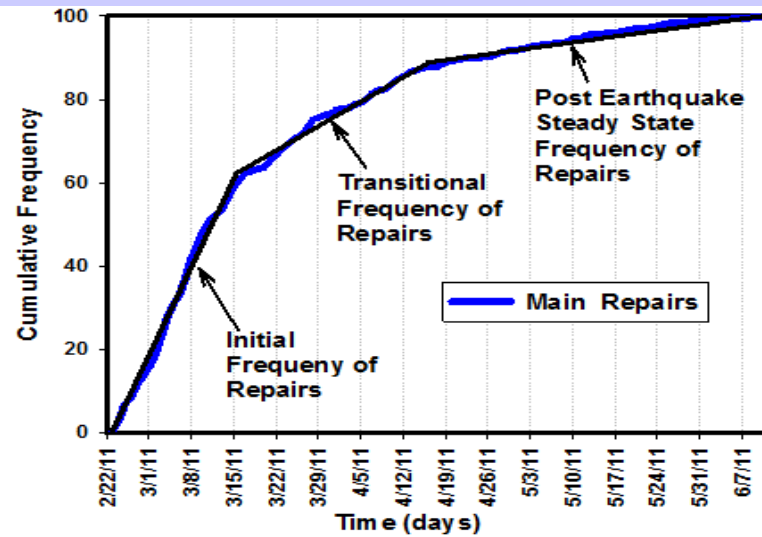
“ Typically \leq 60 mm diameter

“ Water distribution from mains to a limited number of houses

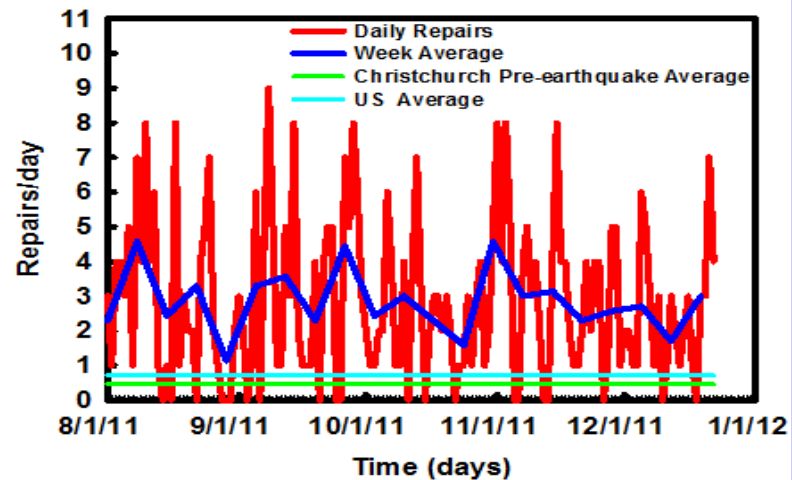
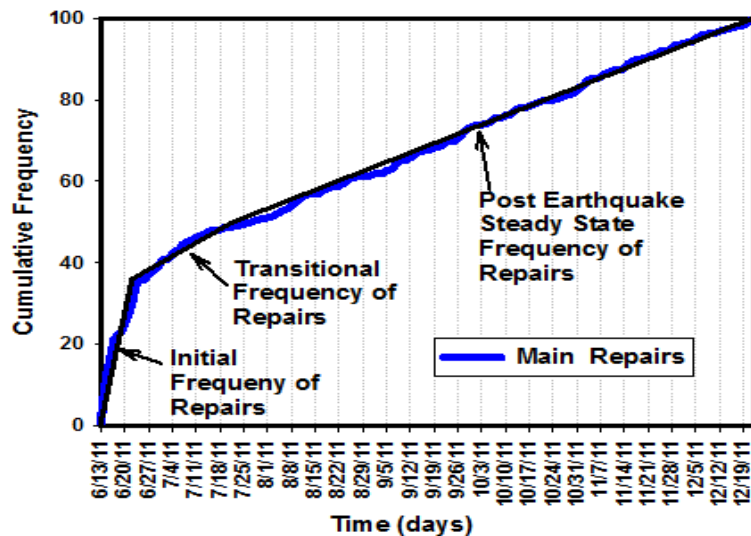
PIPELINE REPAIRS VS TIME



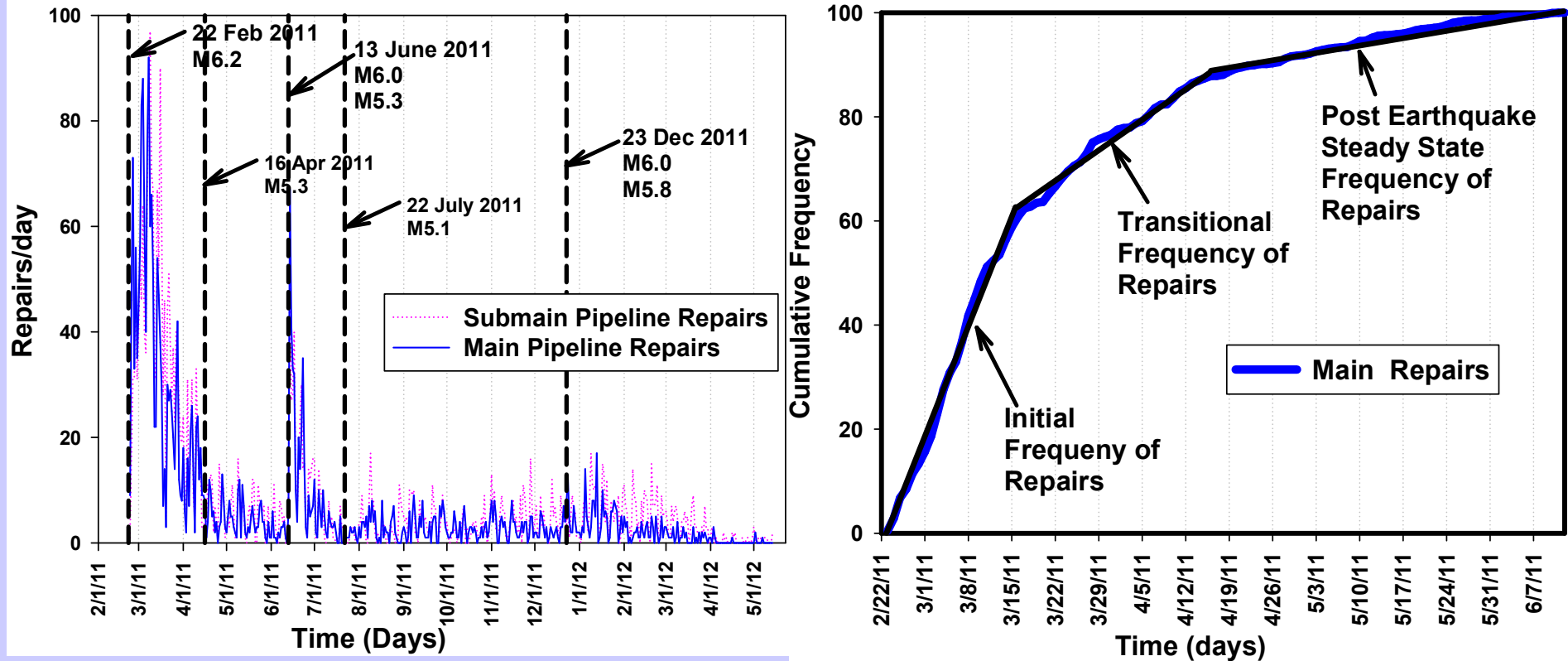
a) Daily main and submain repairs



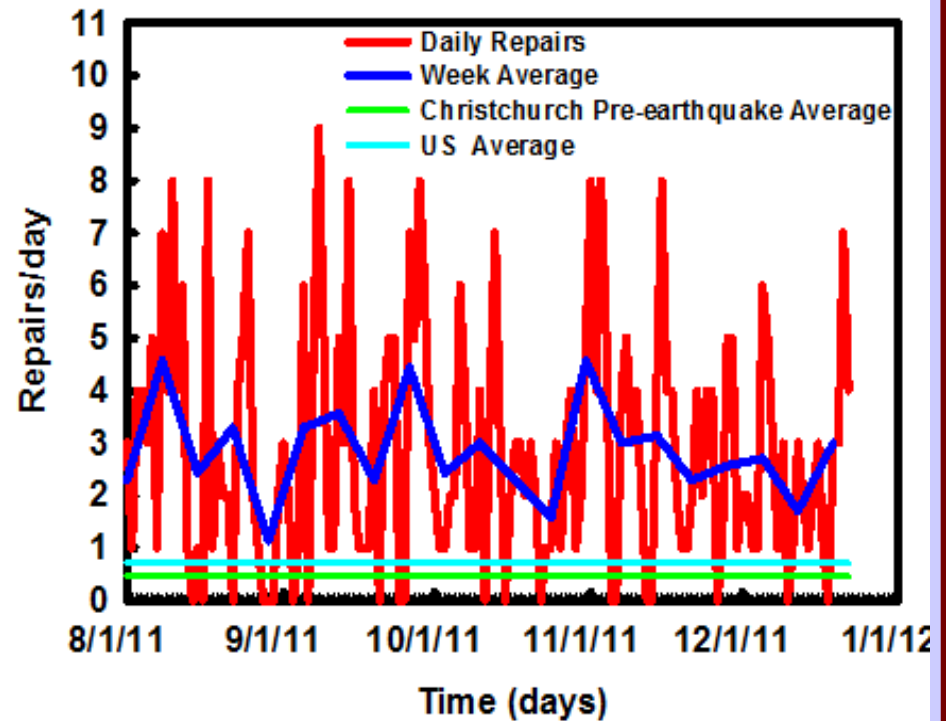
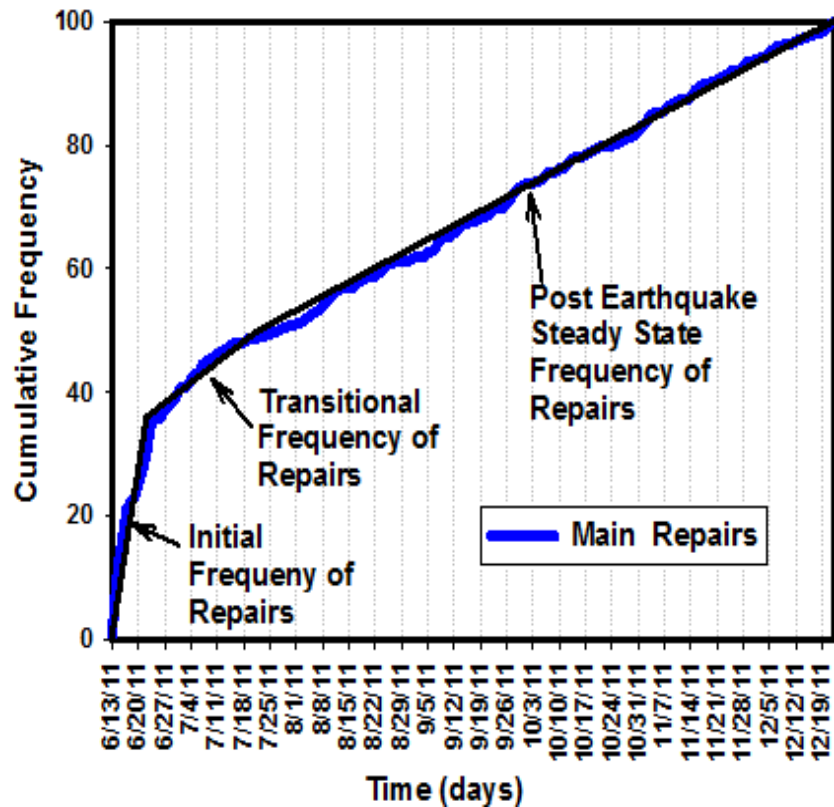
b) Cumulative frequency of repairs between 22 Feb. and 13 June 2011



PIPELINE REPAIRS VS TIME

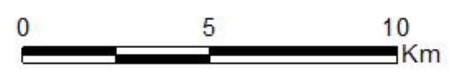
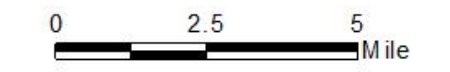
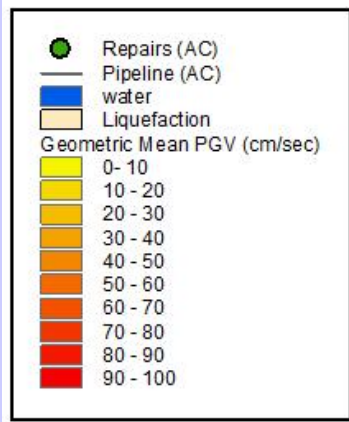
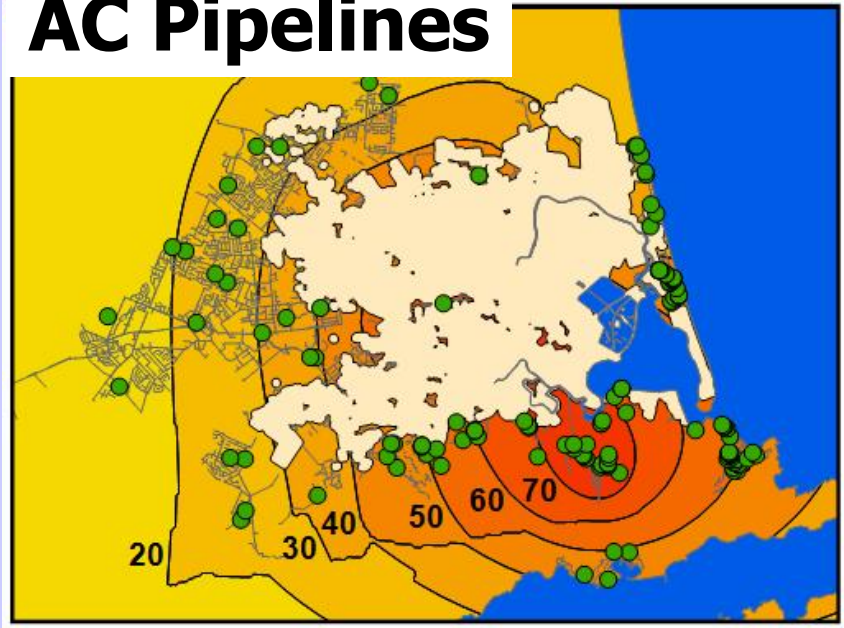


PIPELINE REPAIRS VS TIME

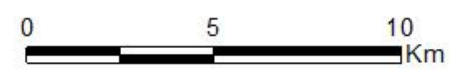
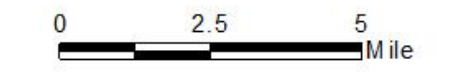
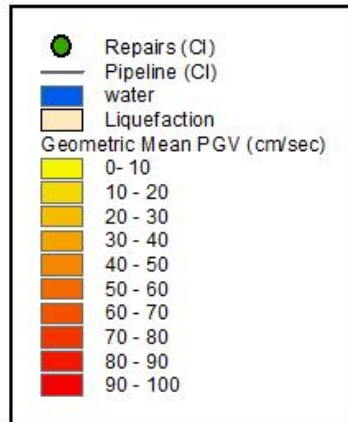
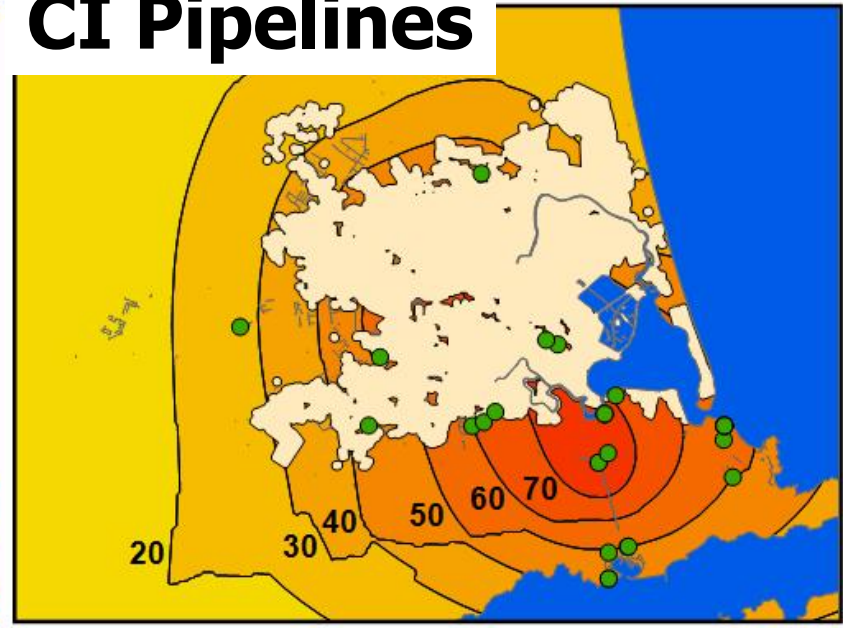


PIPELINES AFFECTED BY TRANSIENT GROUND MOTION

AC Pipelines



CI Pipelines



SCREENING CRITERIA

“ Assume Poisson Distribution for Repairs

$$(1 - \alpha)p \leq (RR)x \leq (1 + \alpha)p$$

Poisson distribution: $\mu = (RR)x$, and $\sigma = [(RR)x]^{1/2}$

Sampled repairs follow normal distr. (central limit theorem)

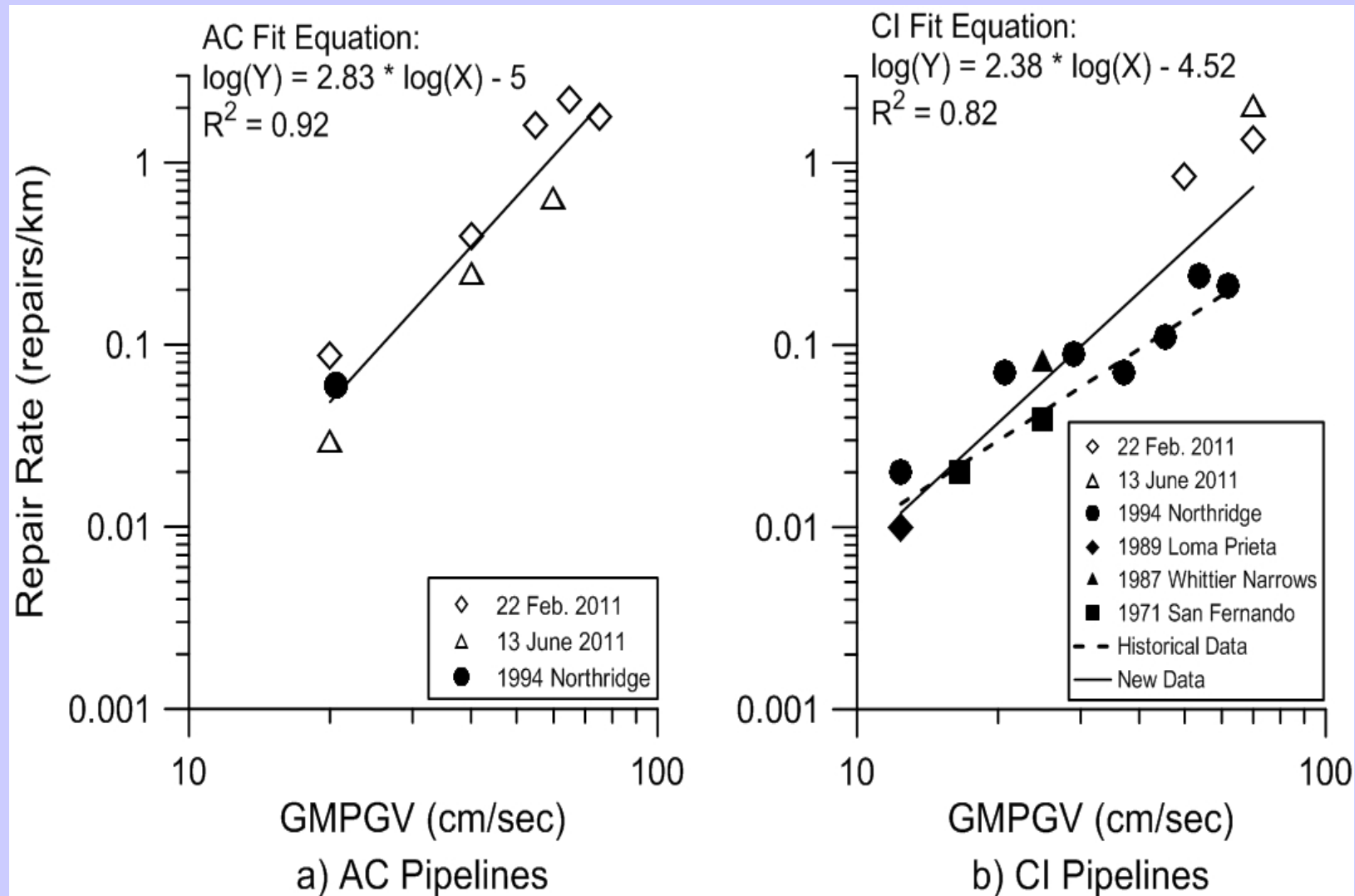
$$\mu + \phi^{-1}(\beta_c)\sigma = (1 + \alpha)p$$

$$x \geq [\phi^{-1}(\beta_c)]^2 / \alpha^2 RR$$

“ Repair Locations Checked by GIS

“ Discount Landslides/Rockfall Areas

REPAIR RATE VS GMPGV



LIGHT DETECTION & RANGING

- “ High Resolution LiDAR Measurements, Corrected for Tectonic Deformation

- “ Vertical Movements

 - “ 5-m intervals

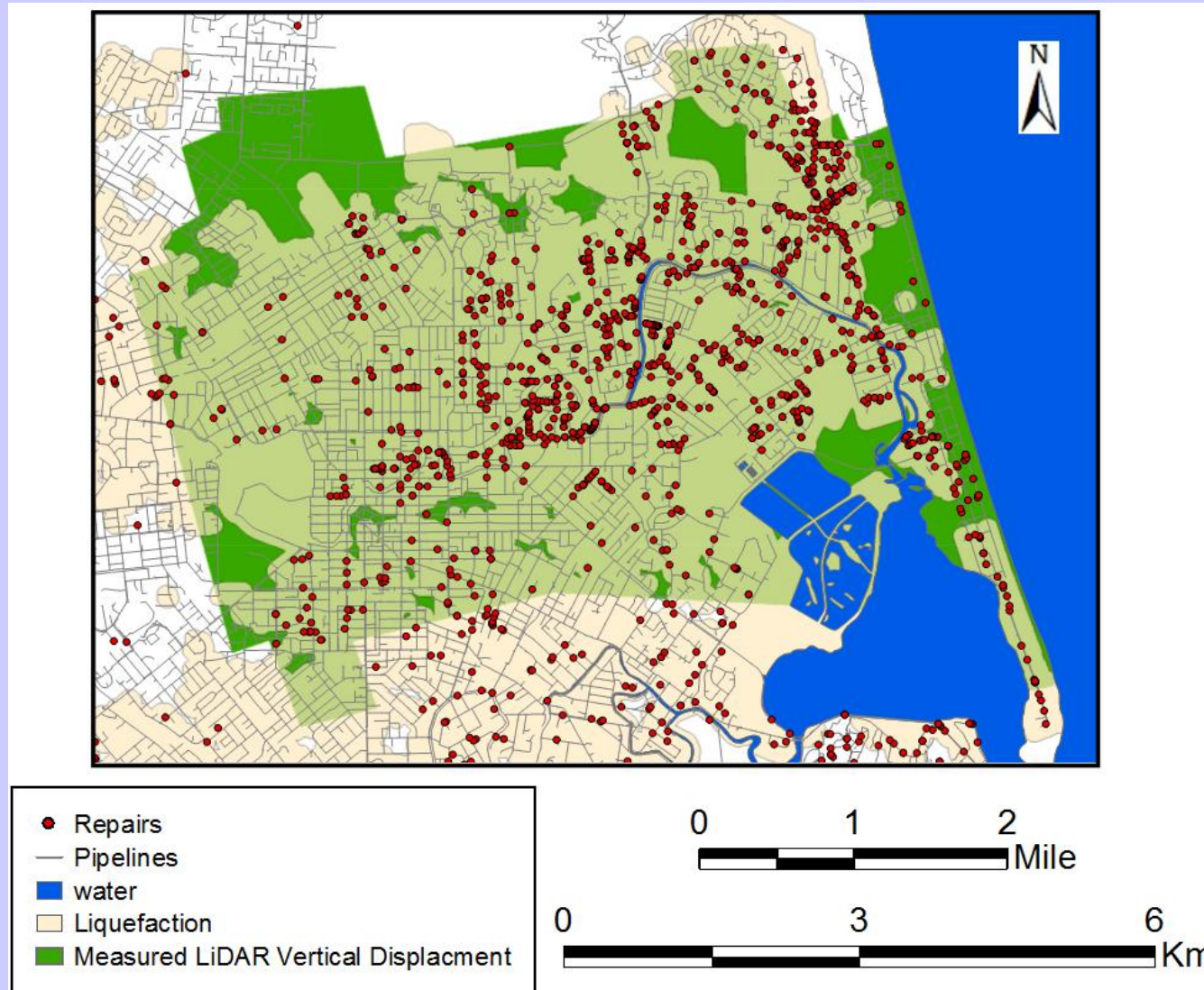
 - “ $\pm 70 - 150$ mm standard error

- “ Lateral Movements

 - “ 56-m intervals

 - “ $\sim \pm 400$ mm standard error

PIPELINE REPAIRS IN LIQUEFACTION & LIDAR AREAS

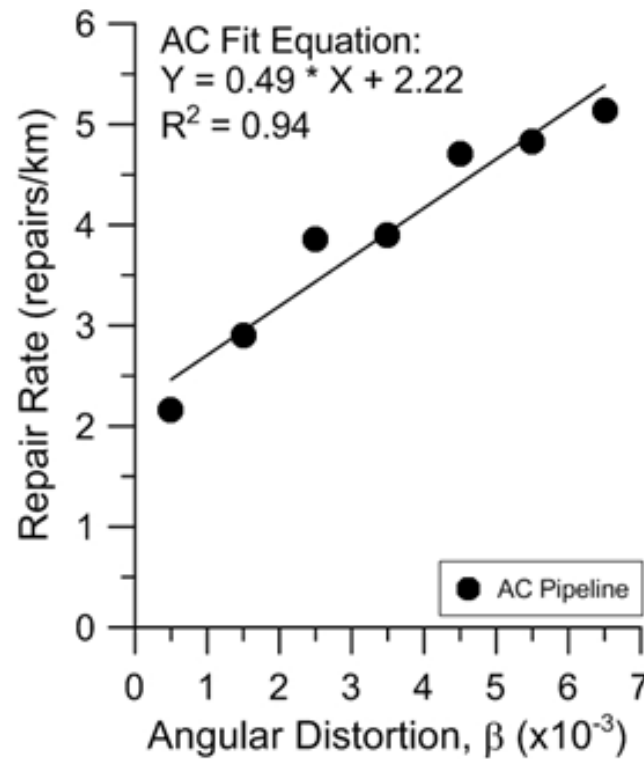


REPAIR RATE VS ANGULAR DISTORTION

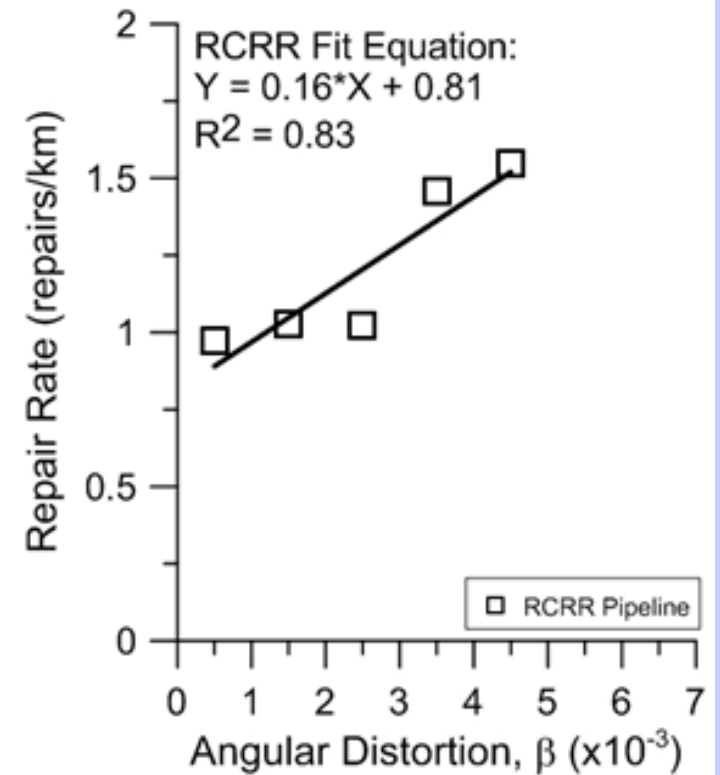
Angular Distortion = $(dv1-dv2)/L = \Delta d/5m$

**Water
Pipelines**

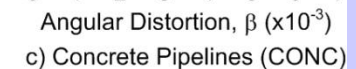
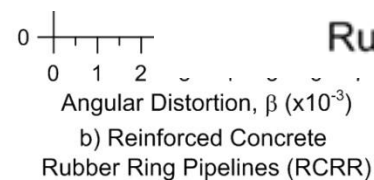
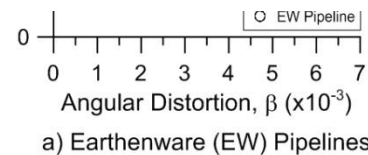
**Wastewater
Pipelines**



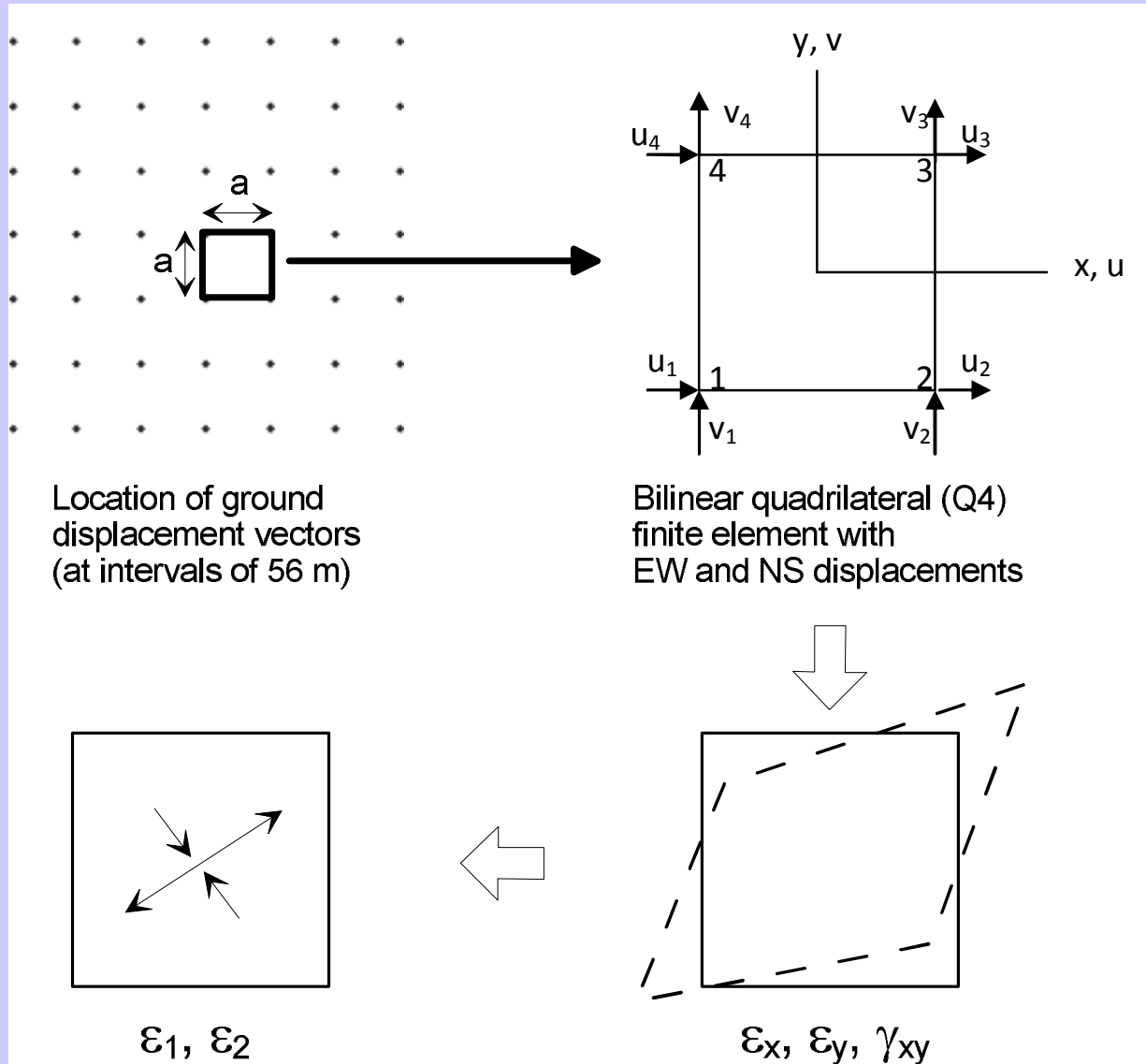
a) AC Pipelines



b) Reinforced Concrete Rubber Ring Pipelines (RCRR)



MAXIMUM PRINCIPAL LATERAL STRAIN

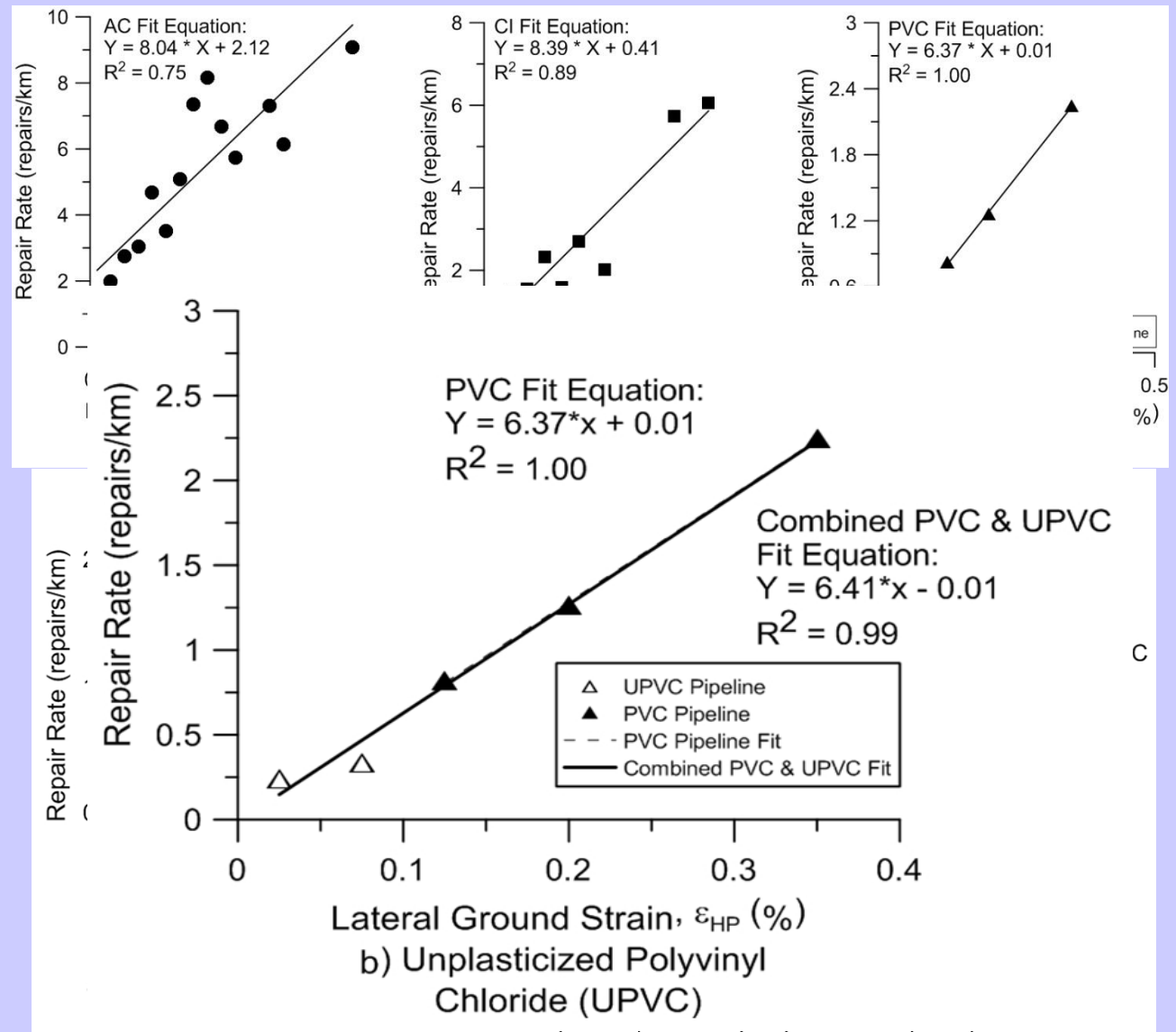


**Create
Bilinear
Quadrilateral
Finite Element
from Lateral
Displacements
at Grid
Corners to
Determine
Principal
Strain**

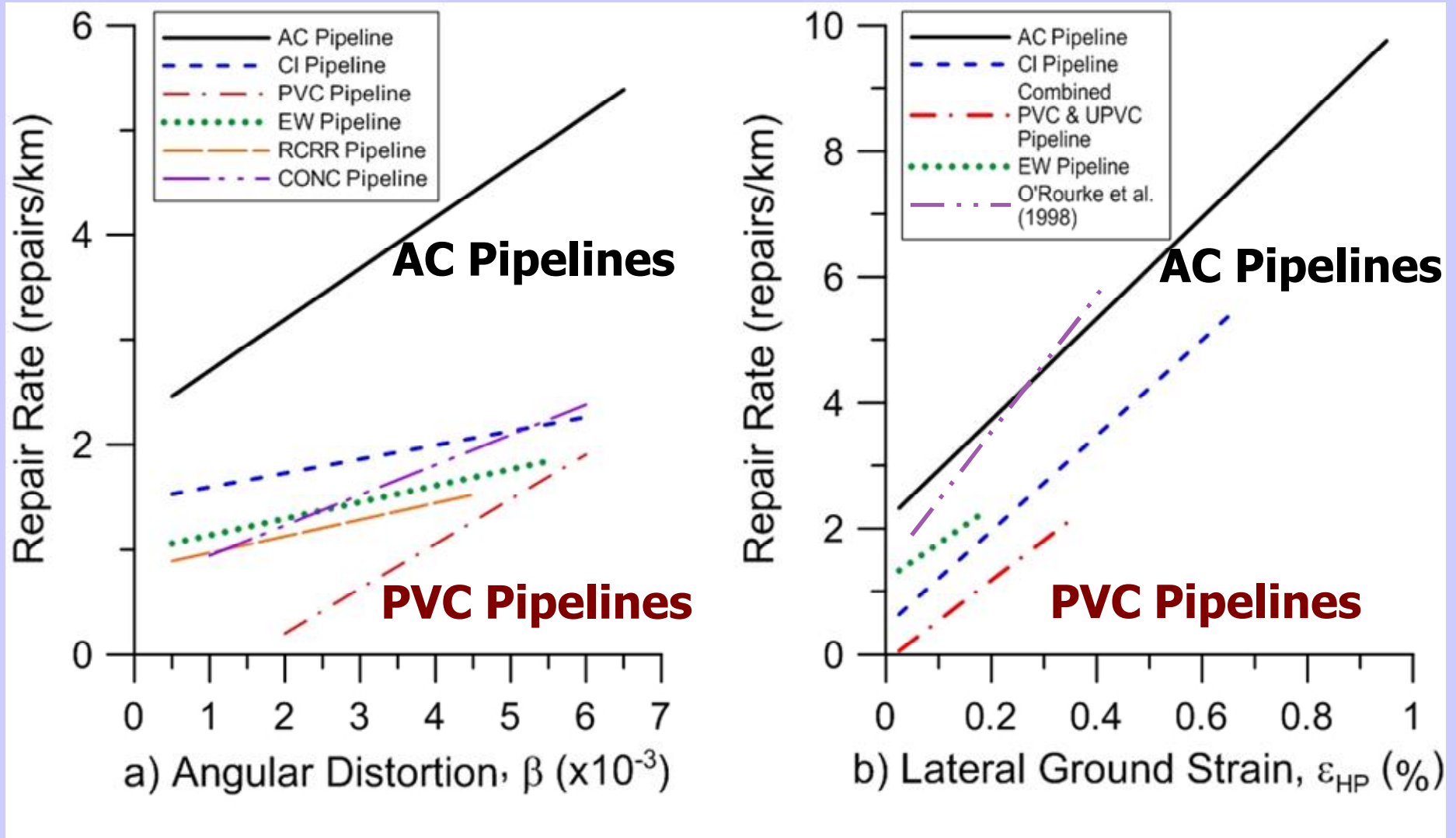
REPAIR RATE VS LATERAL STRAIN

**Water
Pipelines**

**Wastewater
Pipelines**

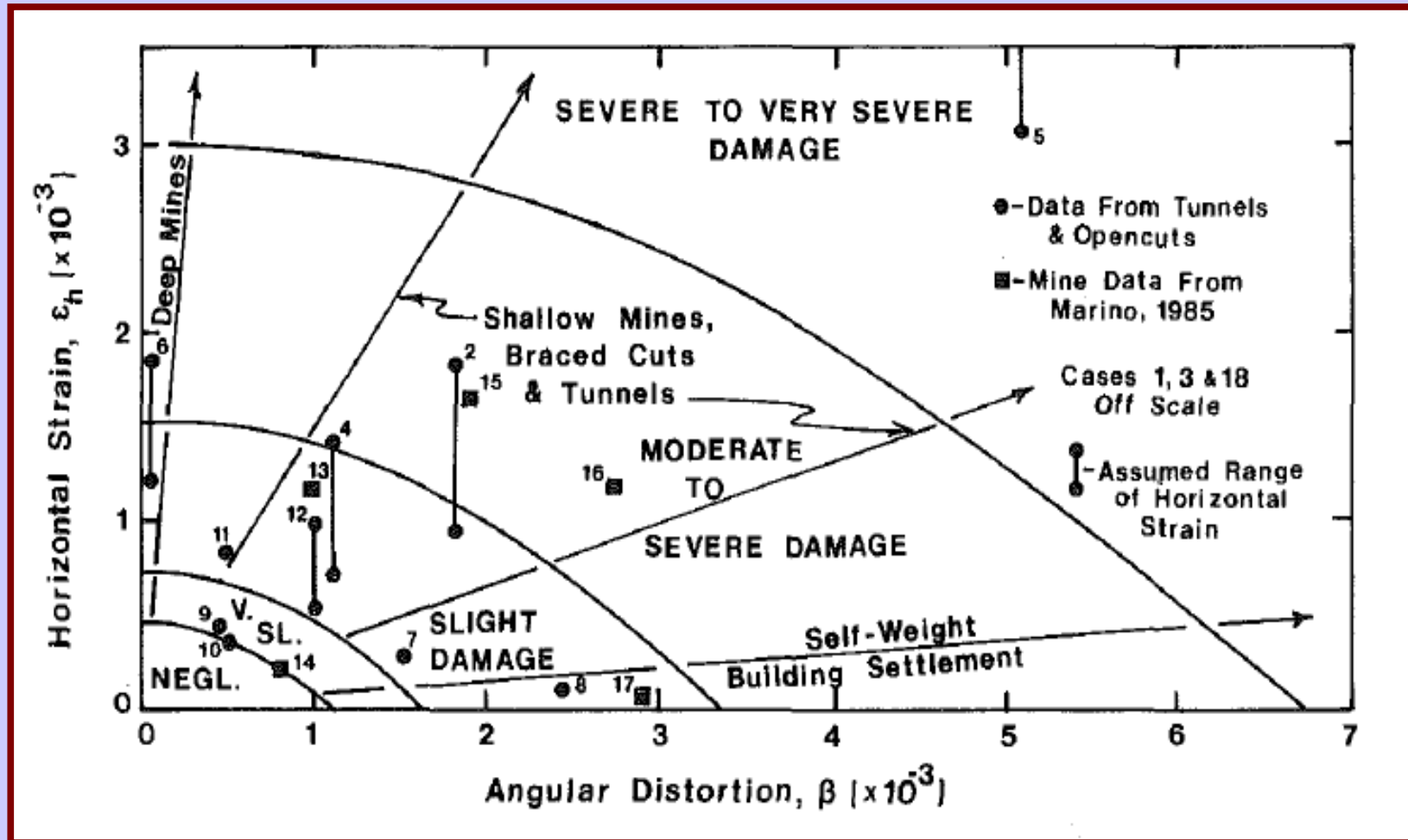


REPAIR RATE COMPARISONS

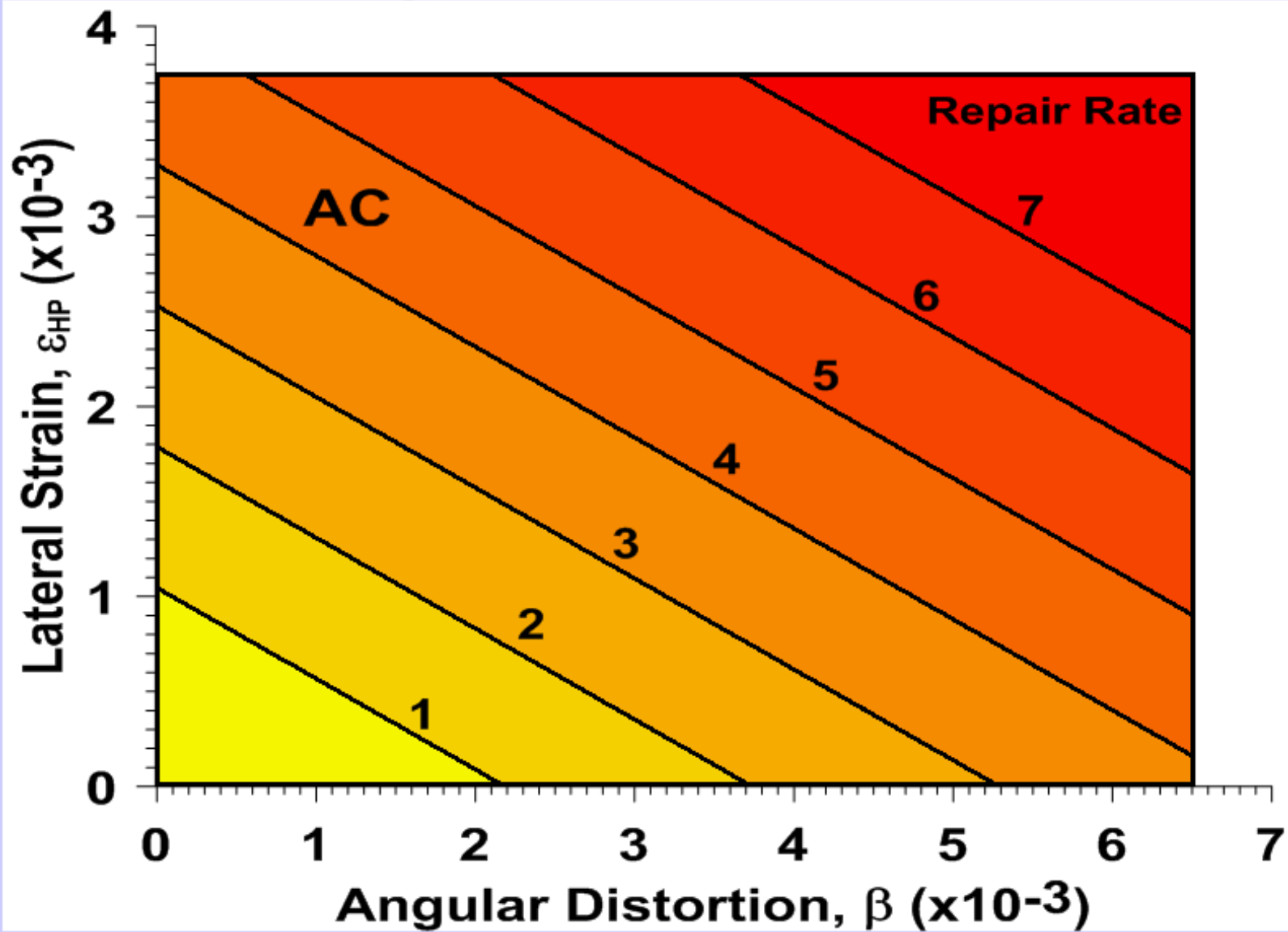


GROUND DEFORMATION METRICS

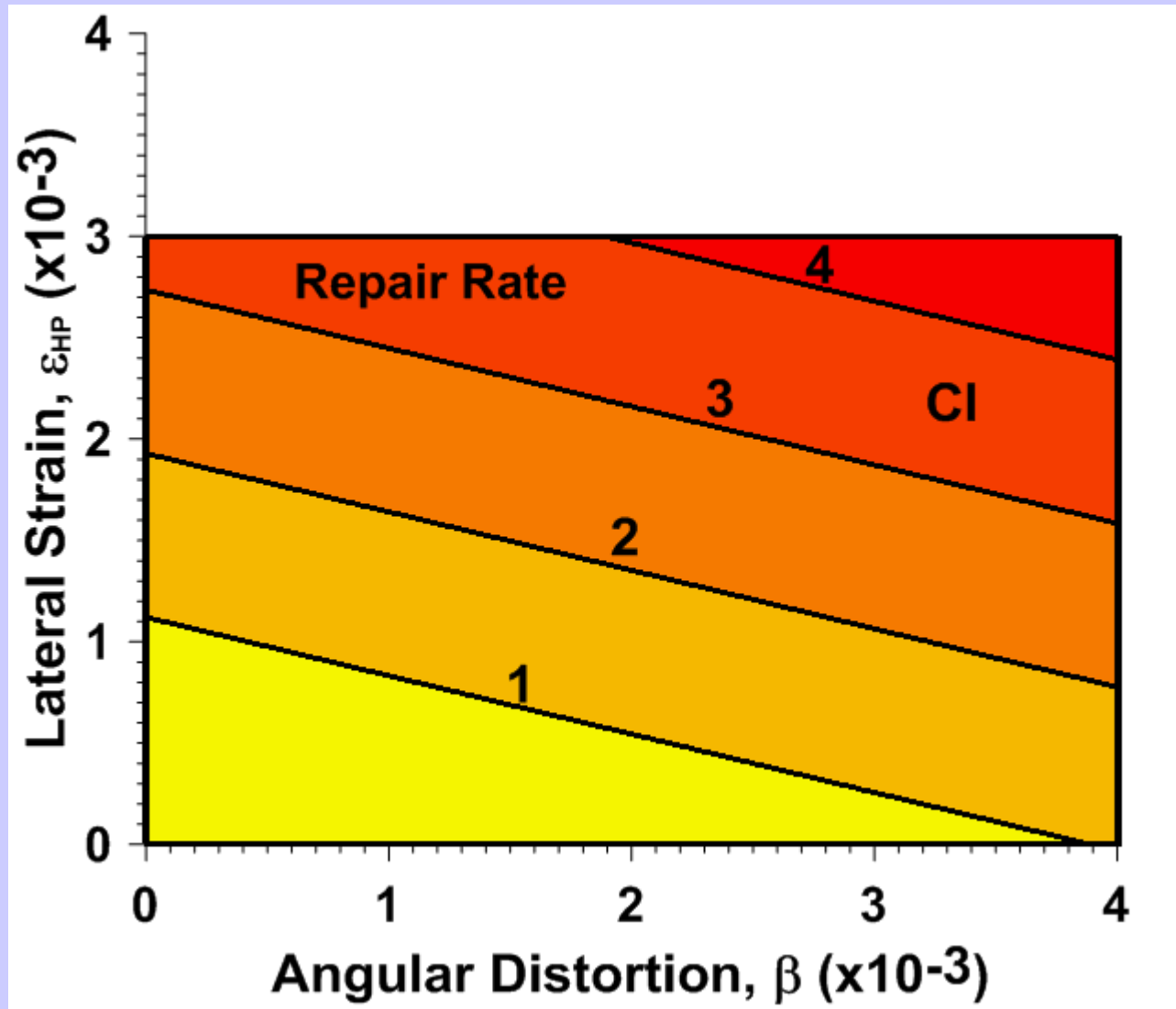
“ From Boscardin & Cording (1989) for Building Damage:



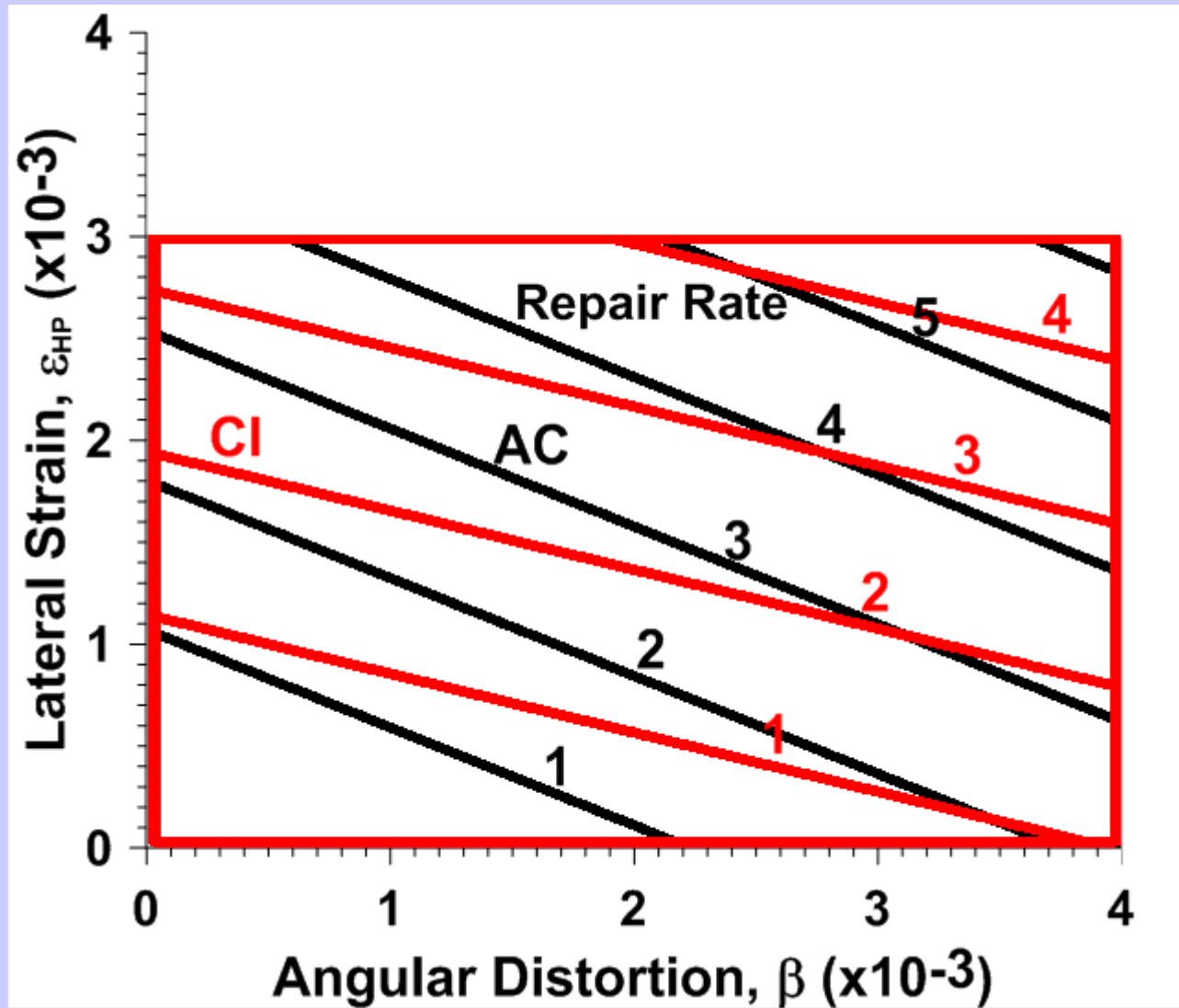
REPAIR RATE, β , AND EHP FOR AC PIPELINES



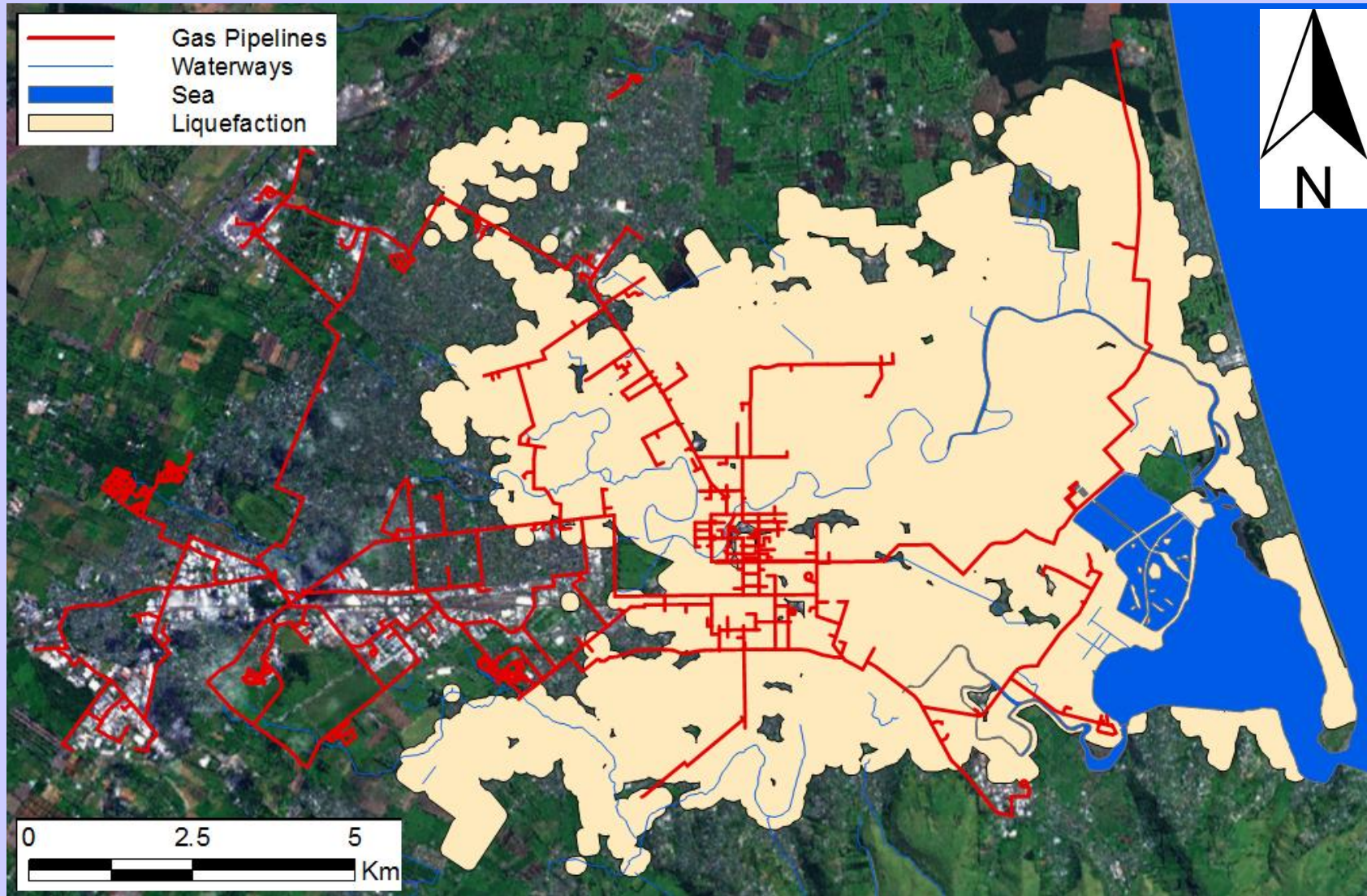
REPAIR RATE, β , AND EHP FOR CI PIPELINES

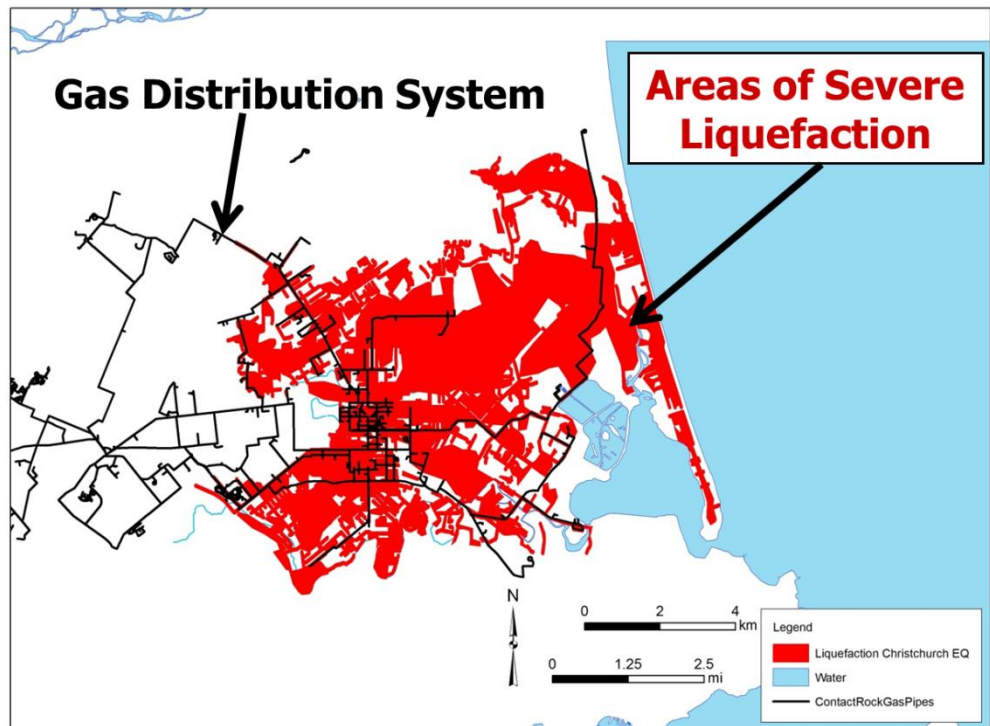


COMPARISON OF AC & CI PIPELINES

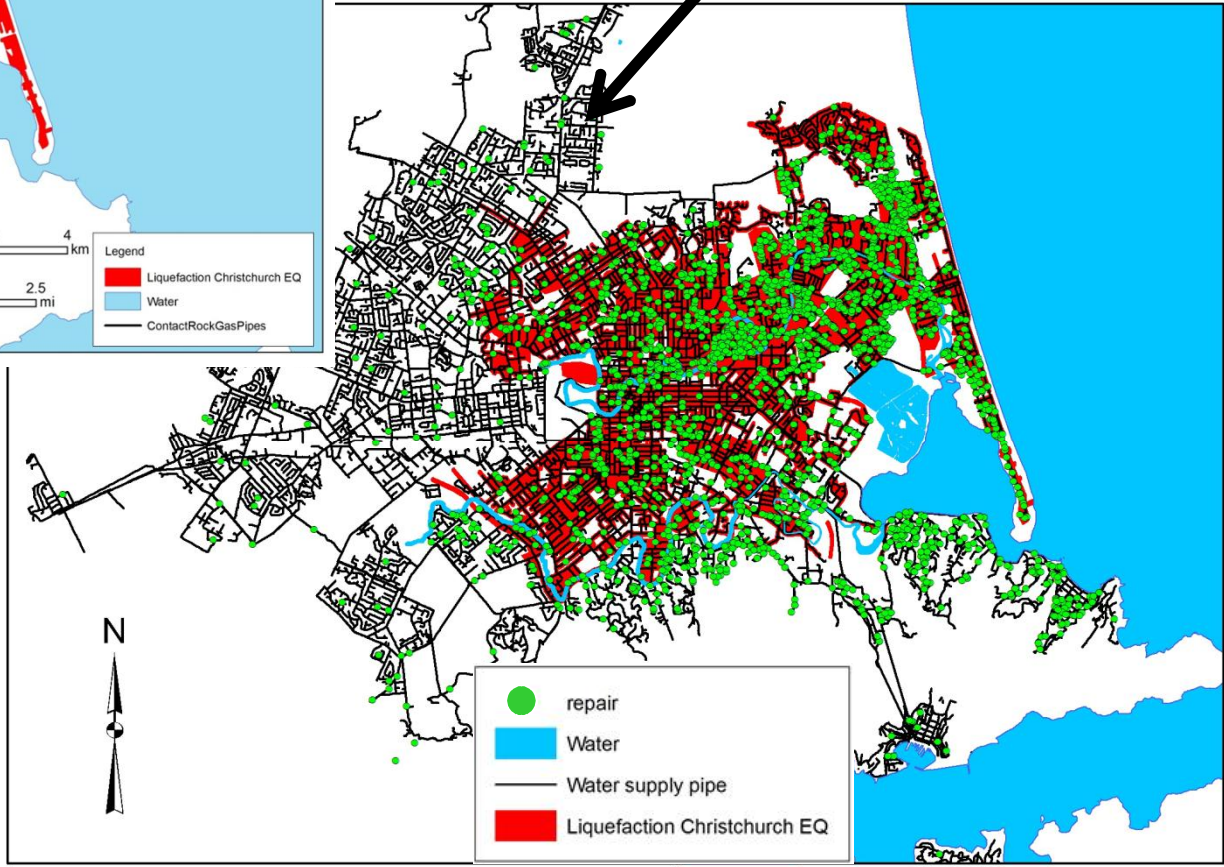


GAS DISTRIBUTION SYSTEM

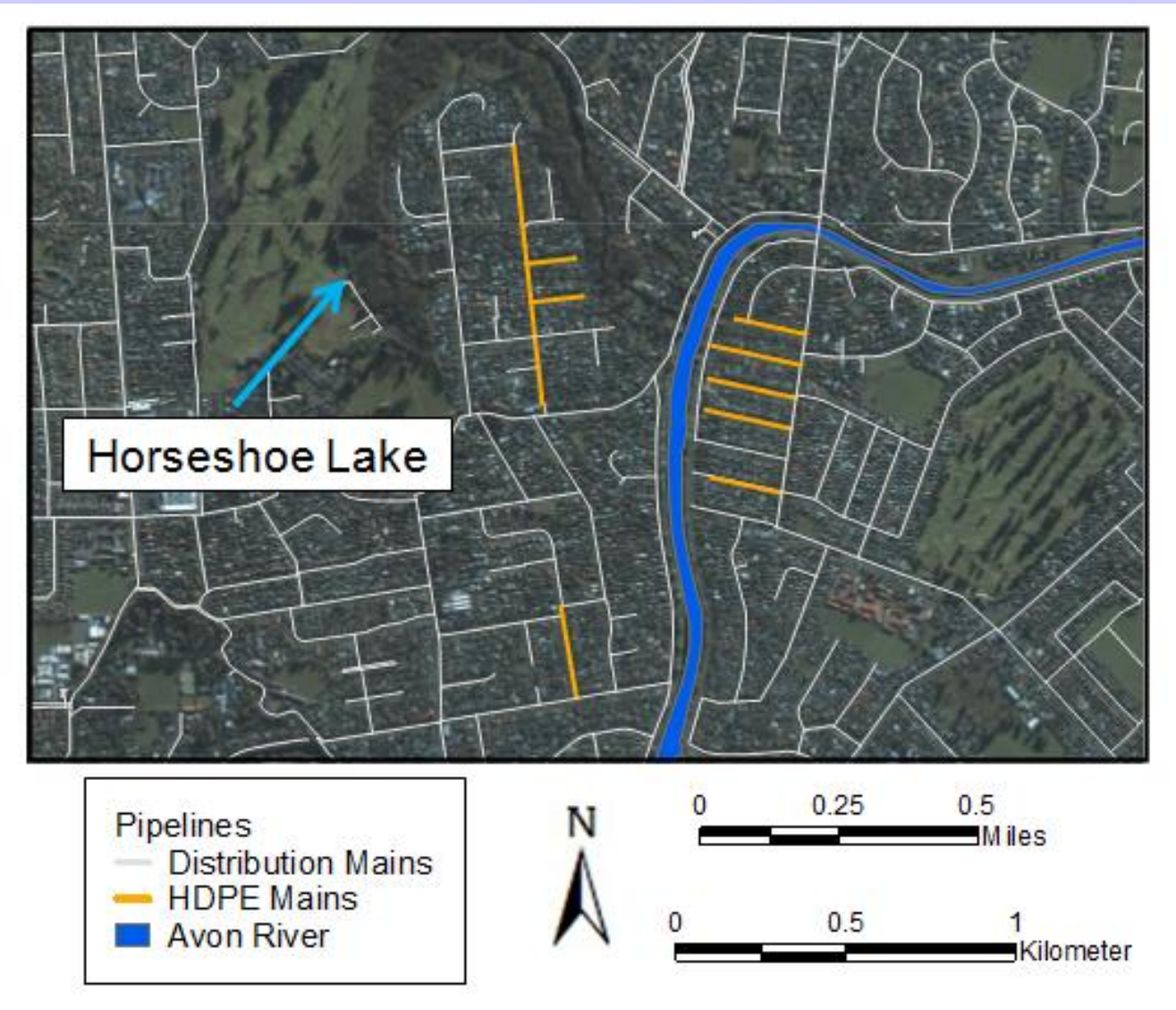




Water Distribution System



PE PIPELINES AFTER 4 Sept 2010 EQ

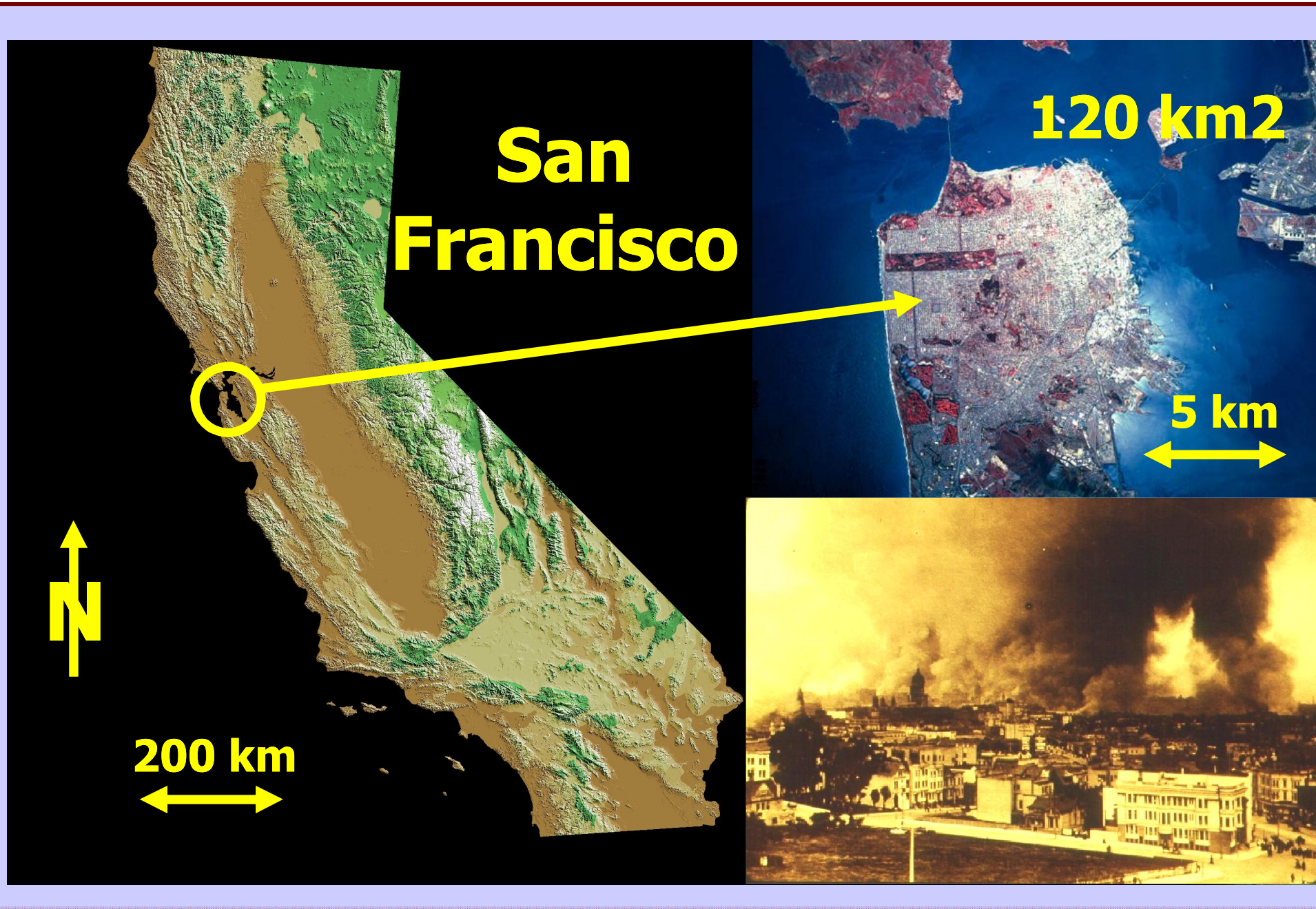


LESSONS FROM CHRISTCHURCH

- “ Extraordinary dataset: multiple EQs, dense ground motion array, massive liquefaction, high density LiDAR, geocoded repairs for thousands of km of different pipelines**
- “ First time comprehensive assessment of underground lifeline response to liquefaction- induced differential vertical movement and lateral strain**
- “ Remarkable performance of highly ductile HDPE and MDPE pipelines**

LESSONS FROM CHRISTCHURCH

- “ Relative Performance of Most Widely Used Pipelines Quantified with Respect to Ground Deformation**
- “ Statistically Significant Regressions for EQ Pipeline Damage vs Transient & Permanent Ground Deformation**
- “ Unified Methodology for Building & Lifeline Damage vs Differential Vertical & Lateral Ground Movements**



San Francisco

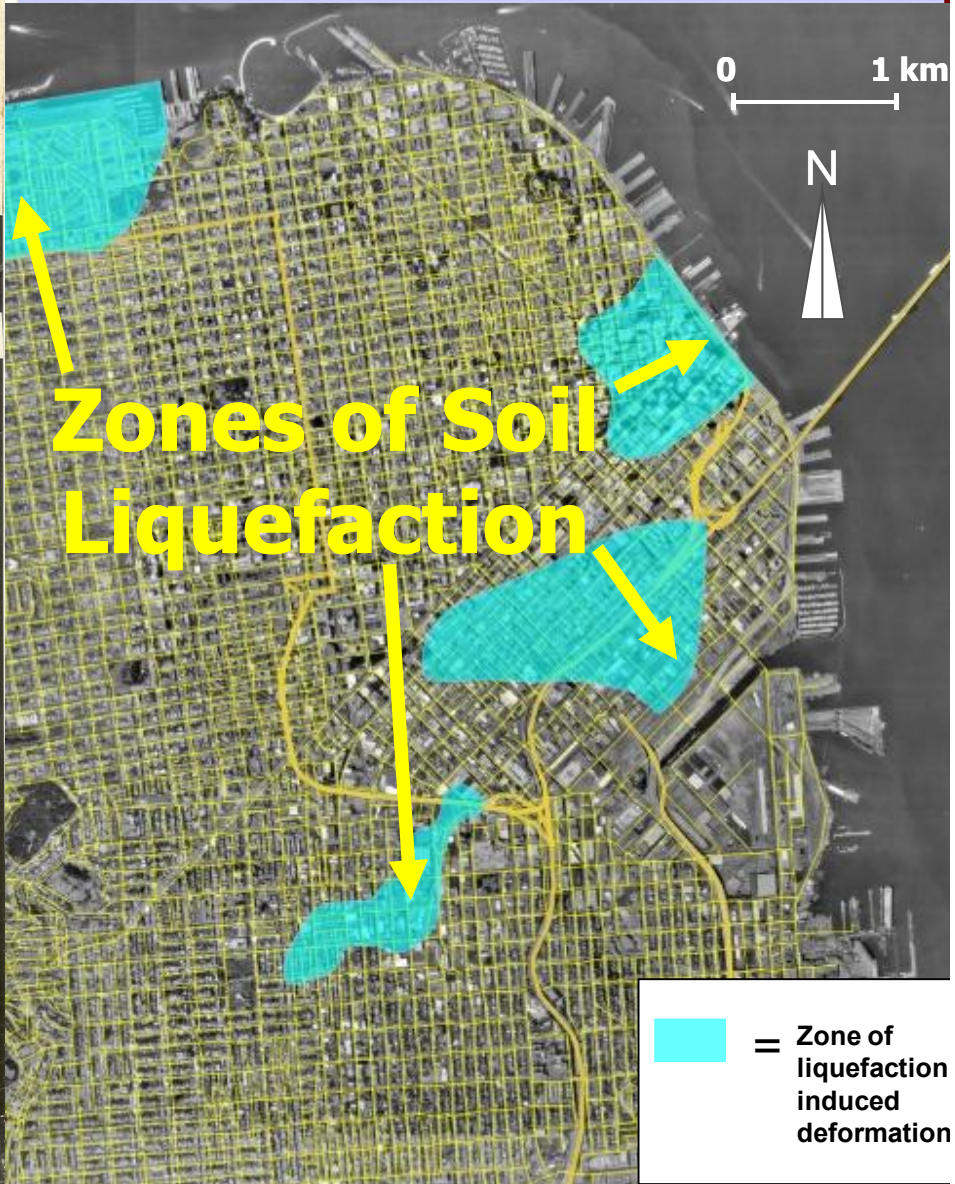
120 km²

5 km



200 km





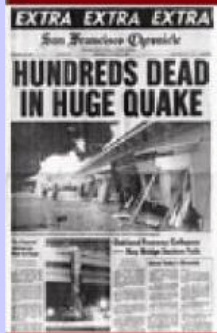
EARTHQUAKE SAFETY AND EMERGENCY RESPONSE BOND

2010 EARTHQUAKE SAFETY AND EMERGENCY RESPONSE BOND



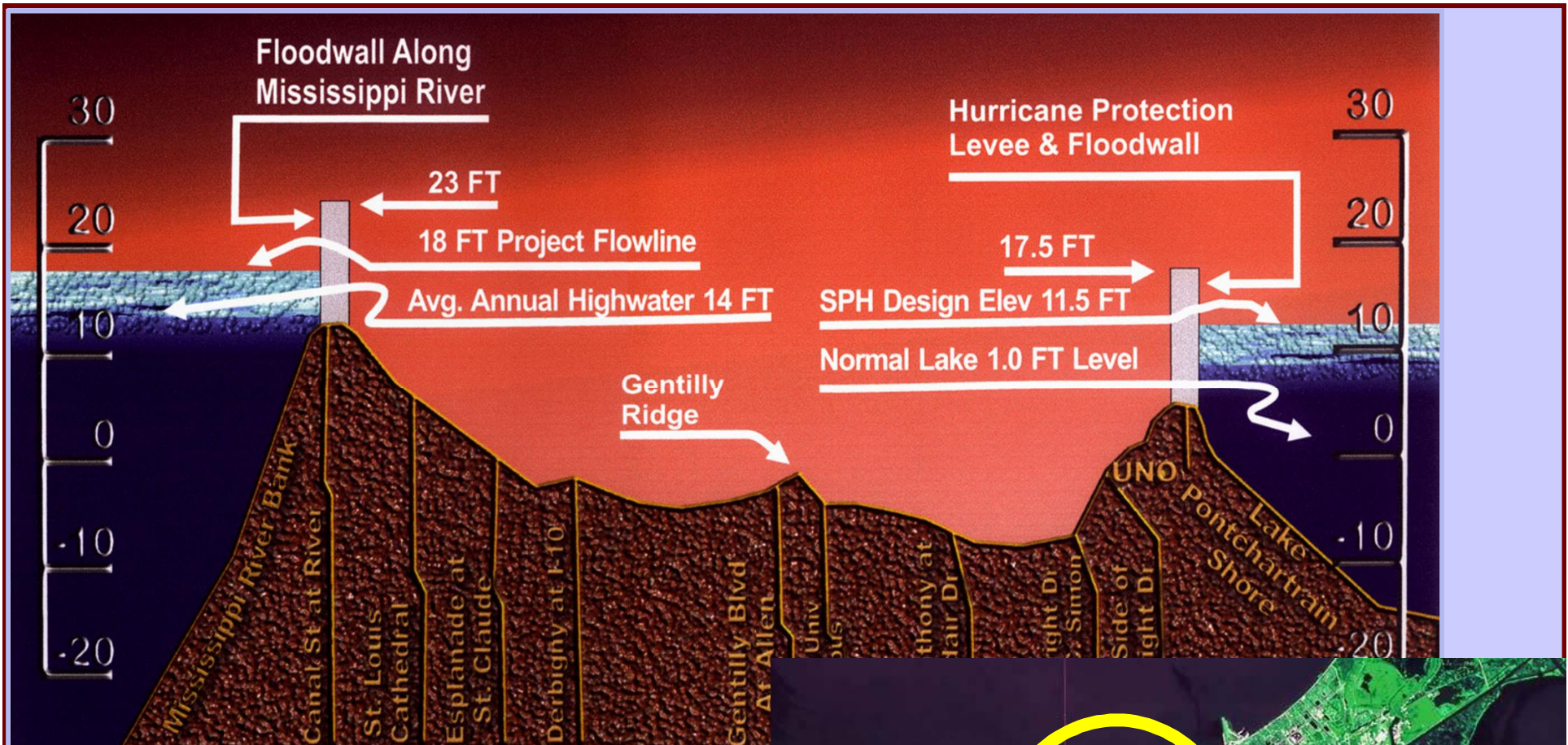
AWSS

Projects and Programs	Cost (millions)
AWSS Core Facilities	\$35.0
Critical Firefighting Facilities and Infrastructure	134.3
Public Safety Building	243.0
Total	\$412.3



Neighborhood Fire Stations	\$65.1 M
Firefighting Cisterns	\$36.6 M
Firefighting Pipes and Tunnels	\$32.6 M
Total CFFI	\$134.3 M





New Orleans Elevation Cross-Section

Entergy (2006)



HURRICANE KATRINA

- “ Greatest U.S. Disaster**
- “ 2000 Dead & Missing**
- “ ~ \$ 160 Billion Losses**
- “ 80% New Orleans Flooded, 53 Days to Dewater**
- “ Impacts on Gulf Offshore Infrastructure & Energy Delivery System**
- “ Complete Failure of Hurricane Protection System**
- “ Hurricane Hazard**
- “ Incomplete Design & Construction**
- “ Poor Maintenance**
- “ I Walls & Foundations**
- “ Poor Preparation**
- “ Inadequate Response**

EVOLUTION OF CONCEPT

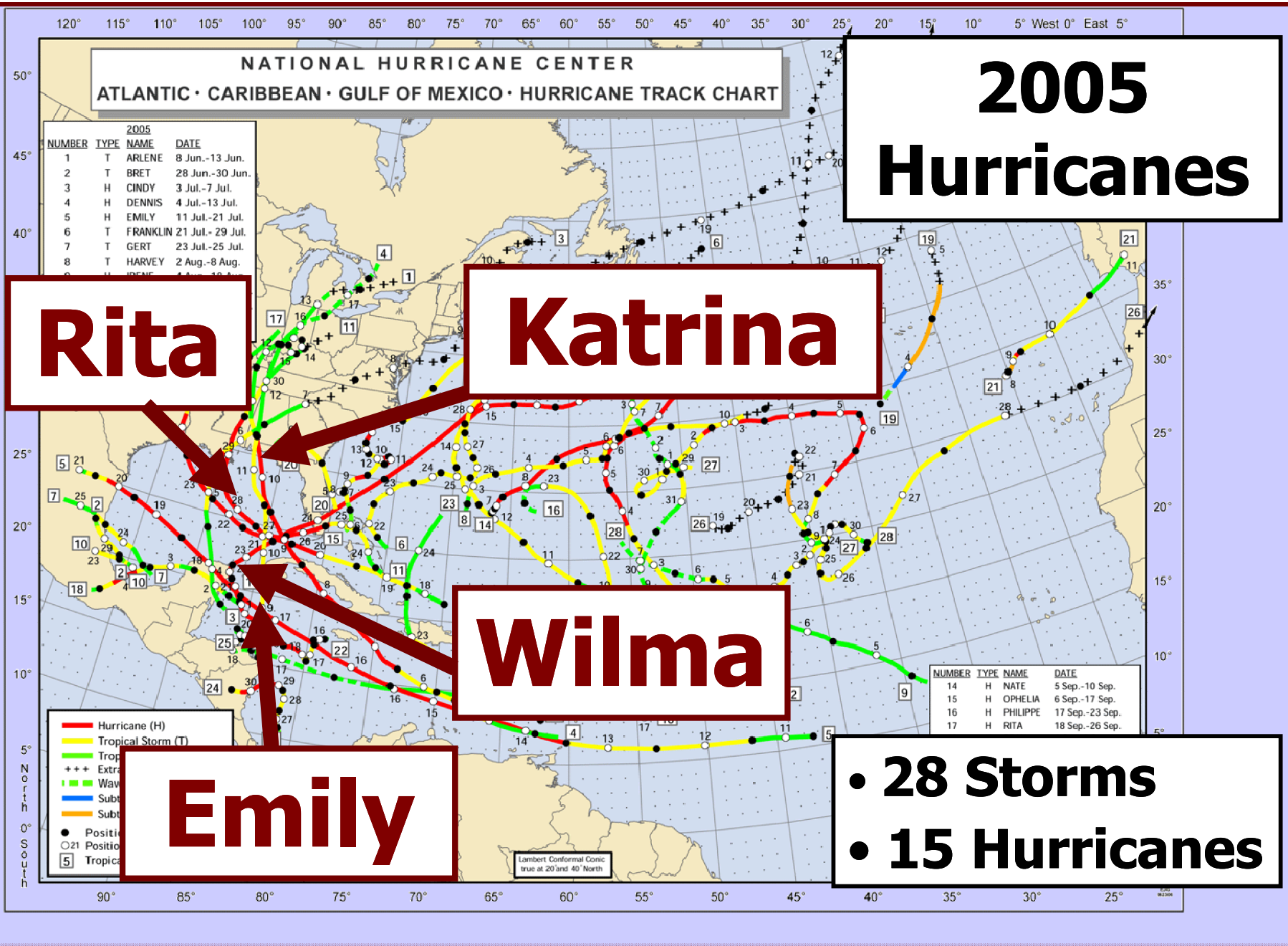
September 11:

- Protection of Critical Infrastructure



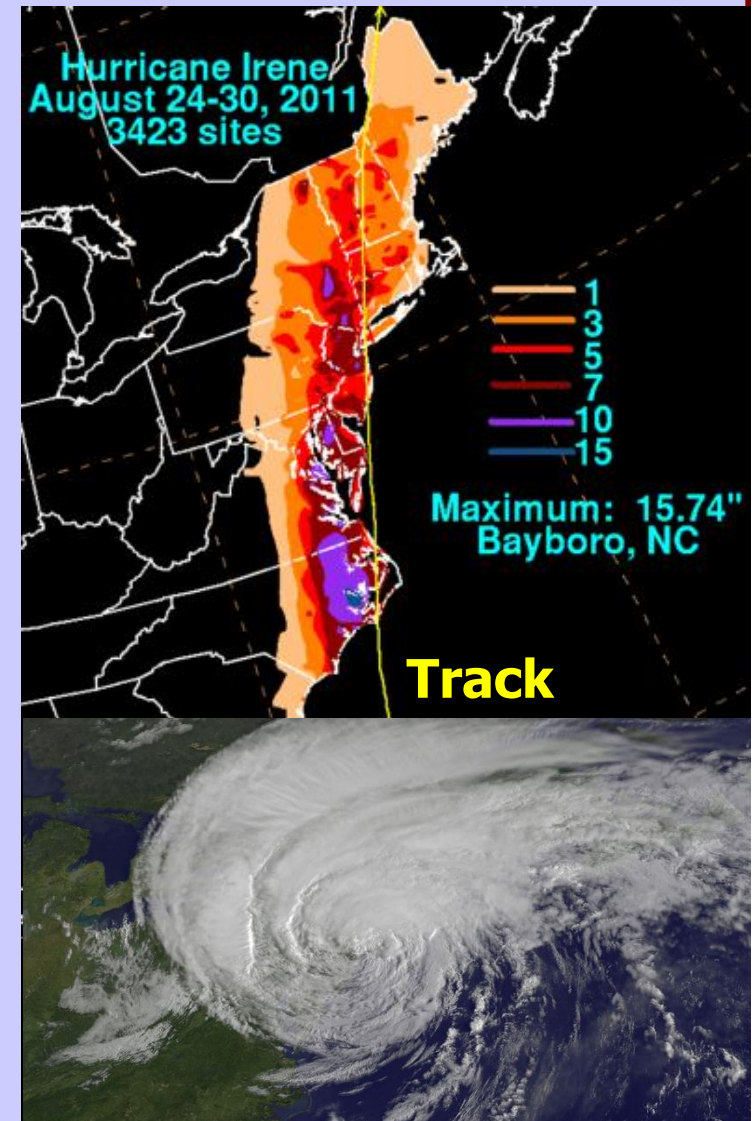
Hurricane Katrina:

- Resilient Communities



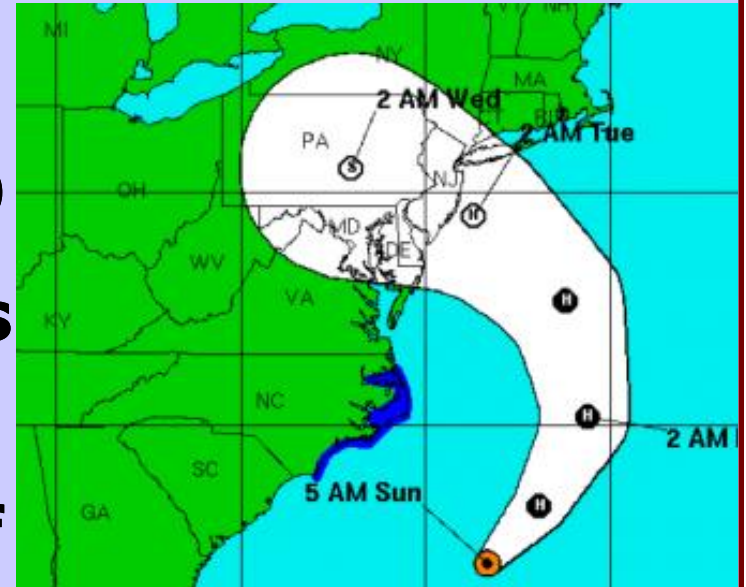
HURRICANE IRENE

- “ 56 Killed
- “ \$10-15 Billion Direct Losses
- “ 7.4 Million Homes & Businesses Without Power
- “ NYC Evacuation & Shutdown of MTA & Public Transportation
- “ Record Flooding
- “ Near Miss

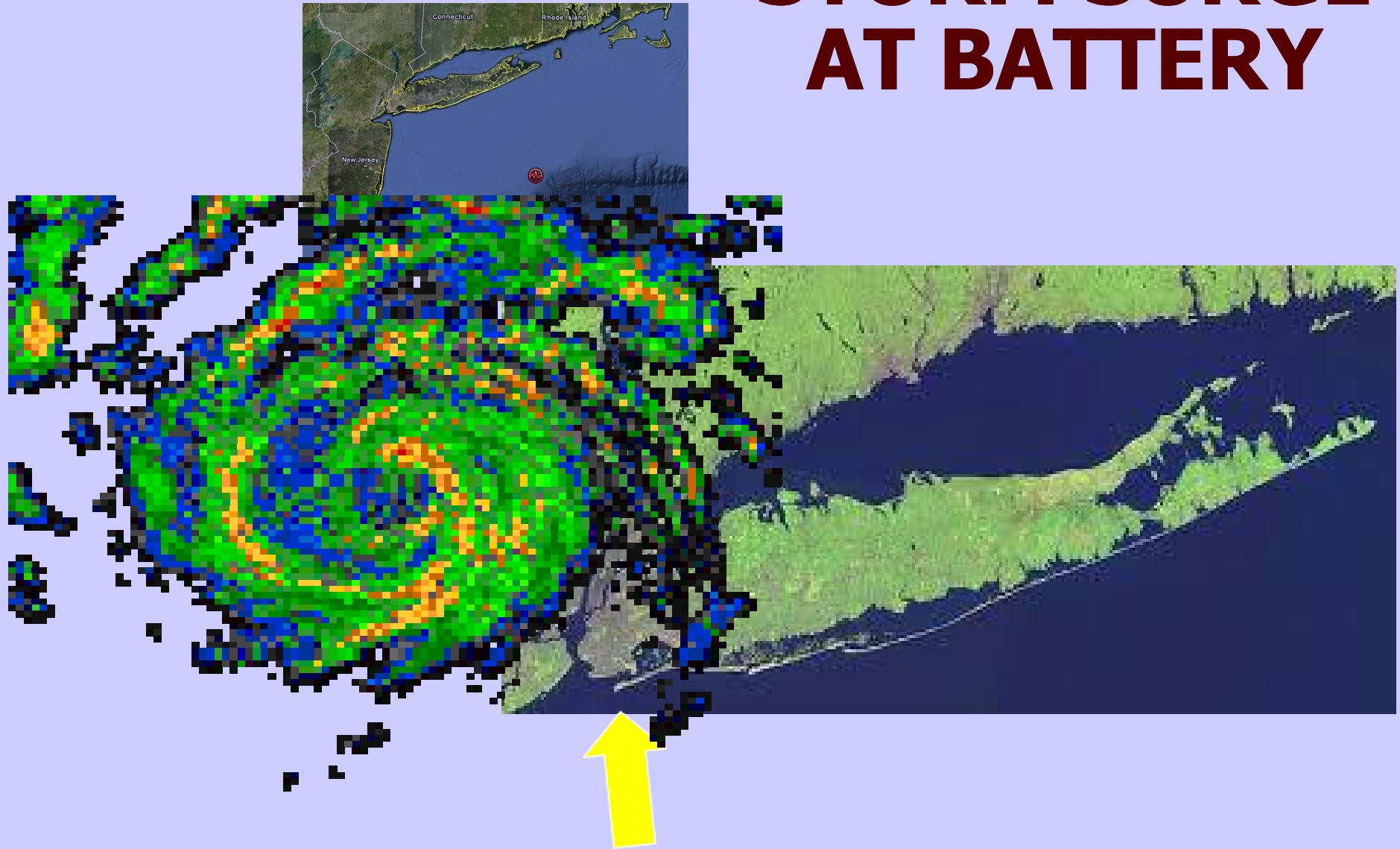


HURRICANE SANDY

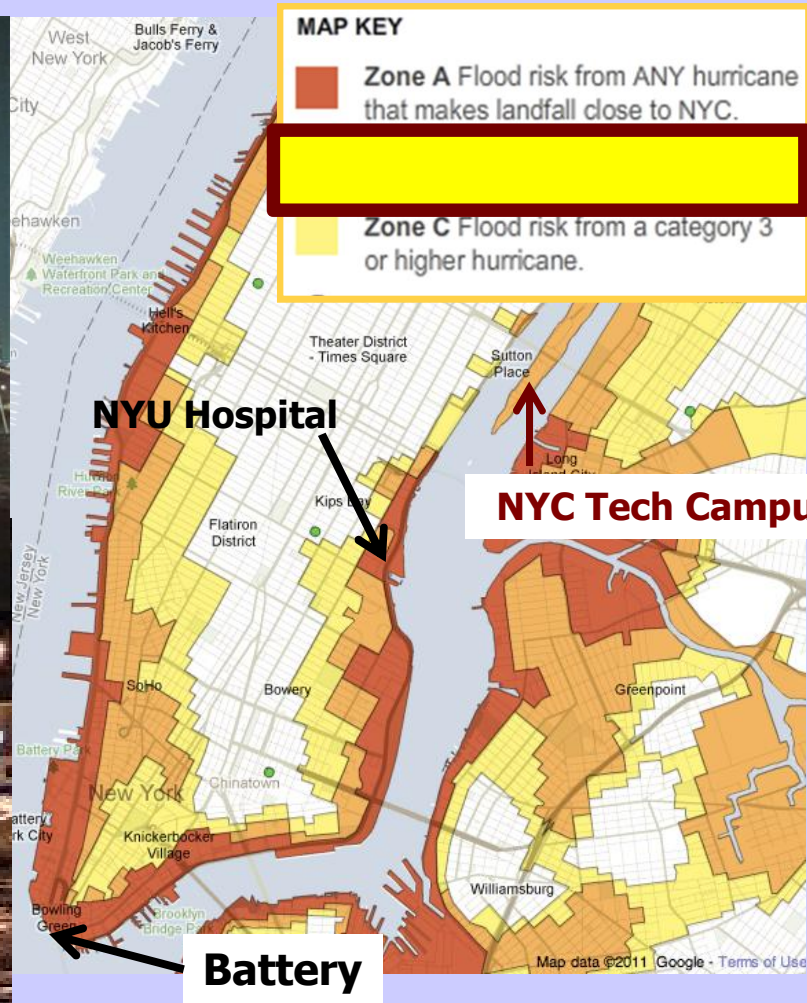
- “ **159 Killed in US**
- “ **\$65 Billion Property and Business Losses (Sandy Task Force)**
- “ **8.5 Million Homes & Businesses Without Power**
- “ **NYC Evacuation & Shutdown of MTA & Public Transport**
- “ **Wall Street Shut 2 Days**
- “ **Record Flooding (Surge)**
- “ **Direct Hit**



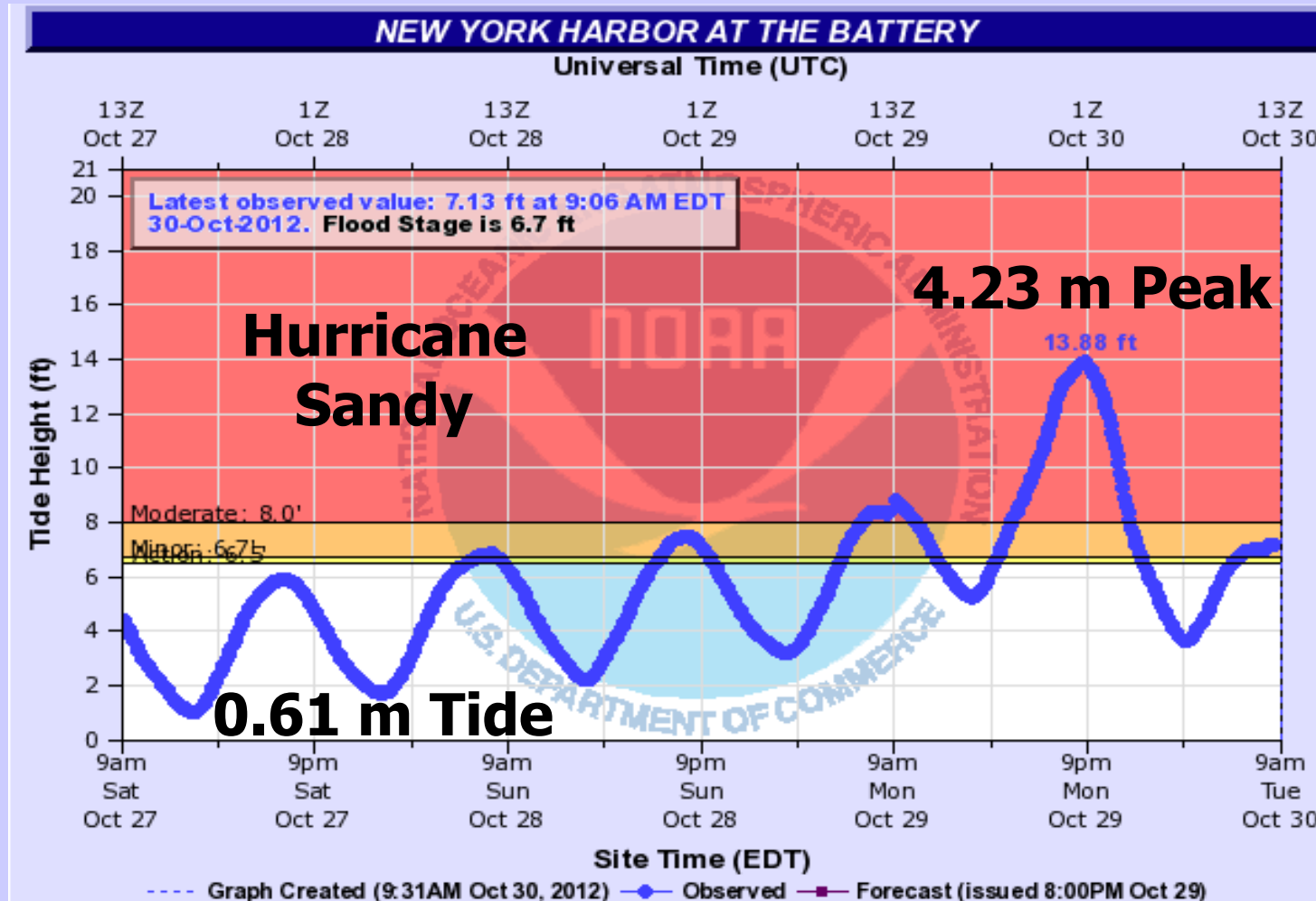
STORM SURGE AT BATTERY



NEW YORK CITY HURRICANE FLOOD ZONES



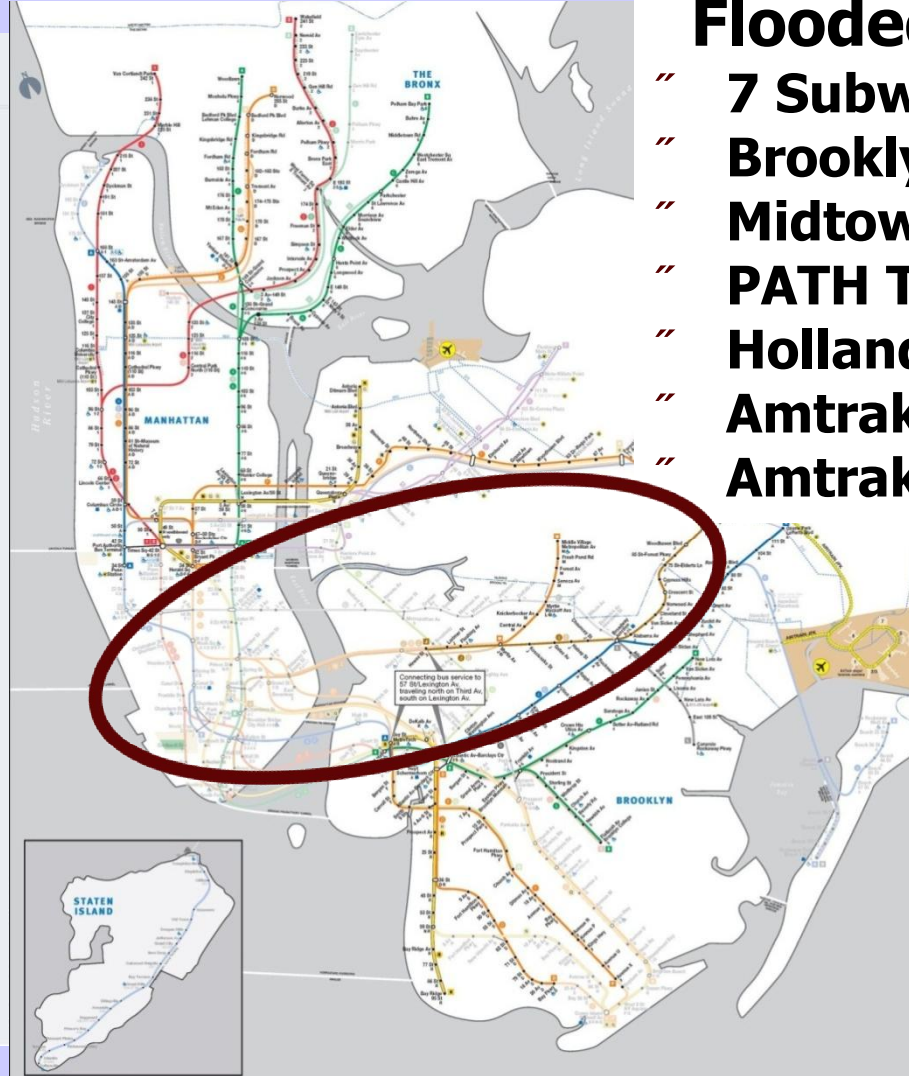
STORM WATER AT BATTERY



HURRICANE SANDY INNUNDATION



HURRICANE SANDY INNUNDATION

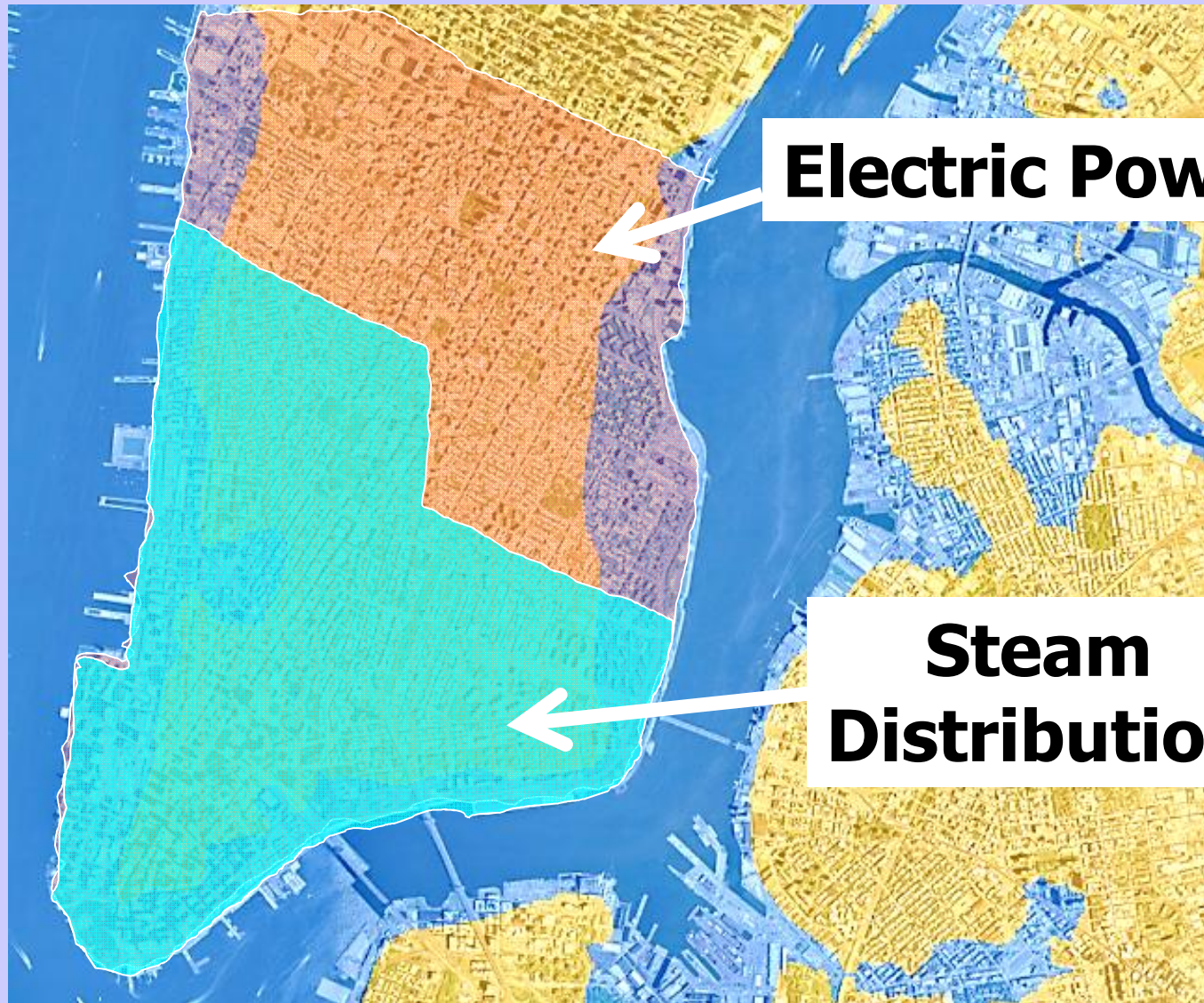


Flooded Tunnels

- “ 7 Subway Tunnels
- “ Brooklyn Battery
- “ Midtown Tunnel
- “ PATH Tunnels
- “ Holland Tunnel
- “ Amtrak East River
- “ Amtrak North River



HURRICANE SANDY INNUNDATION

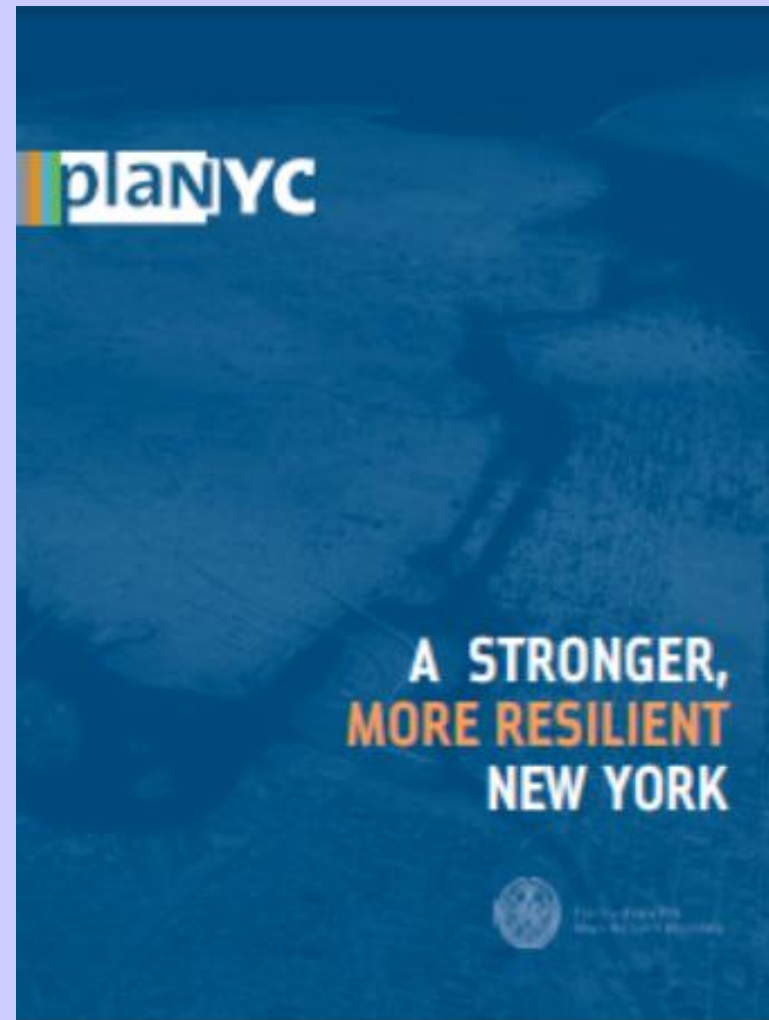


Electric Power

**Steam
Distribution**

A STRONGER MORE RESILIENT NEW YORK

Mayor's Report,
June, 2013

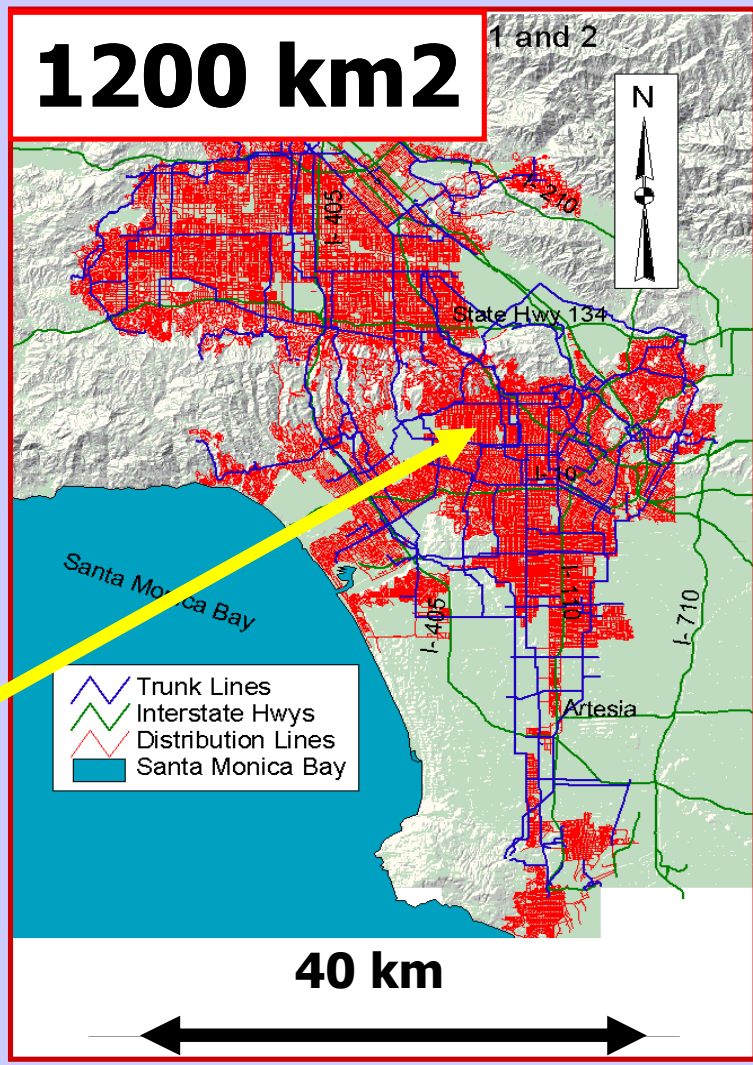


<http://www.nyc.gov/html/sirr/html/report/report.shtml>

Los Angeles



200 km



1200 km²

1 and 2

- Trunk Lines
- Interstate Hwys
- Distribution Lines
- Santa Monica Bay

40 km

SOUTHERN CALIFORNIA WATER SUPPLY

“ Southern California highly dependent on imported water

“ Population: 22 Million

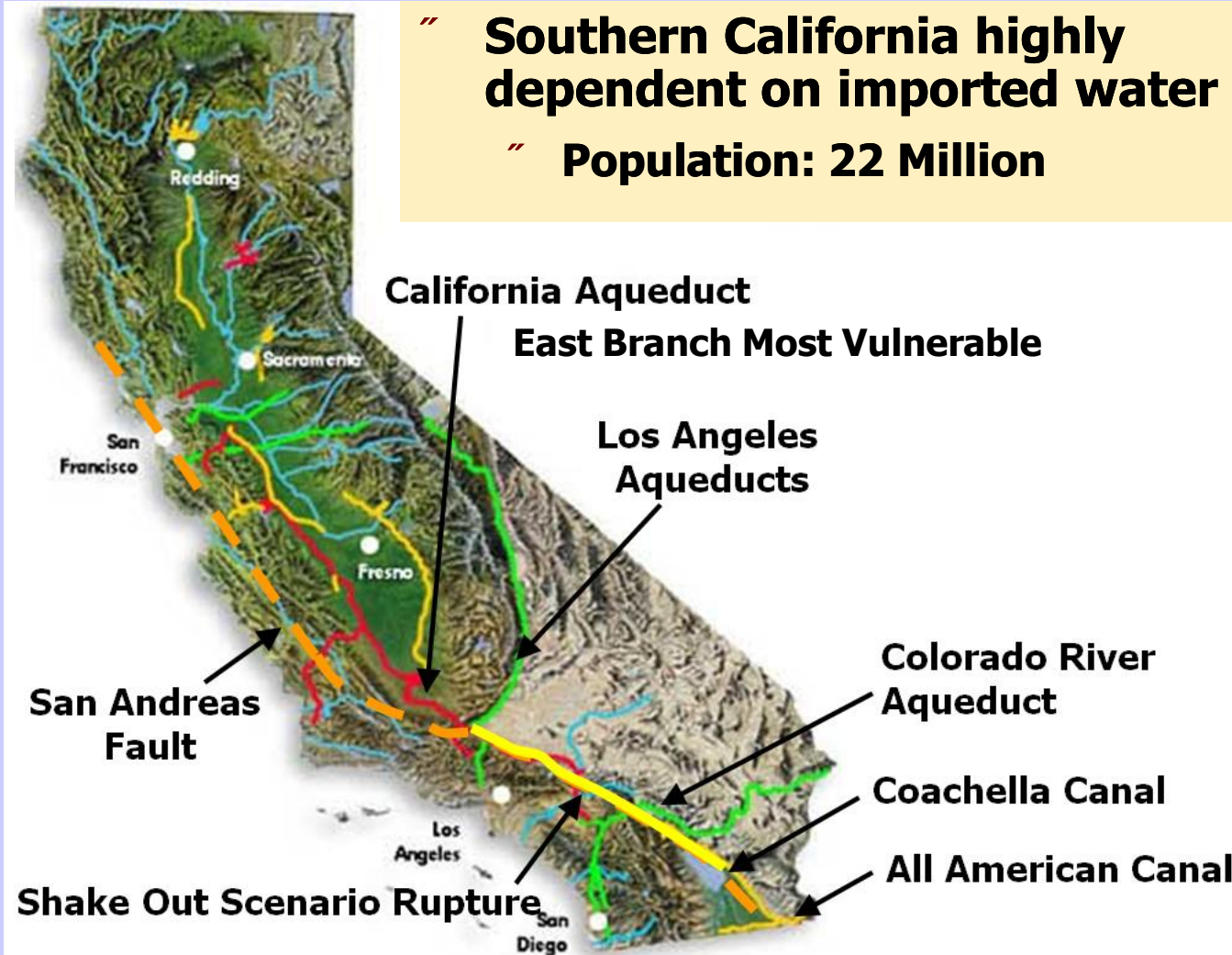
70% Imported Water:

“ California Aqueduct

“ Los Angeles Aqueducts

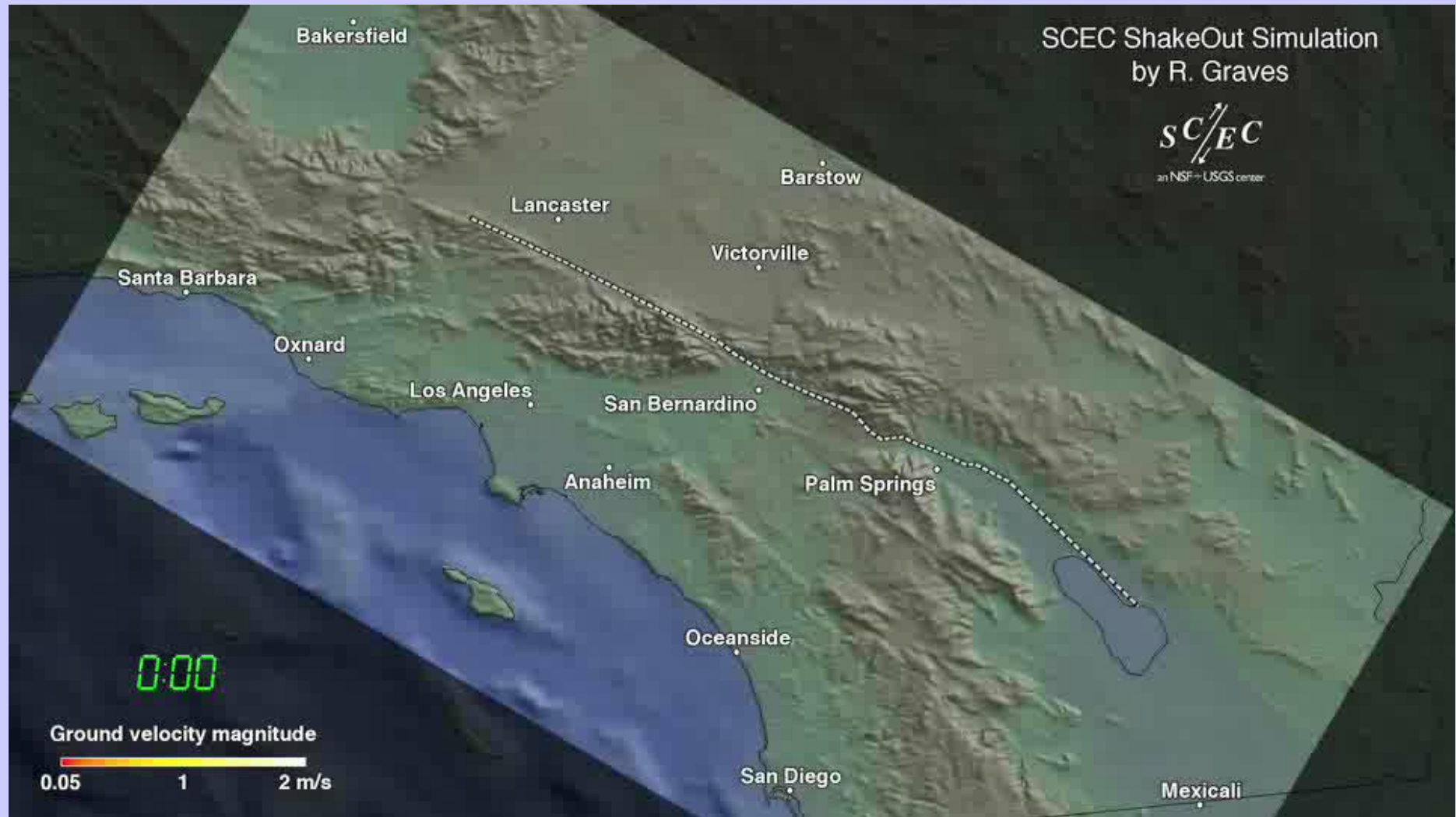
“ Colorado River Aqueduct

30 % Ground Water



SHAKEOUT SCENARIO

7.8 Mw San Andreas Fault Earthquake



SOUTHERN CALIFORNIA WATER SUPPLY (after Davis, 2010)

“ CA Aqueduct (CA DWR)

“ 49 billion m³/yr

“ Faulting Rupture in >15 places

“ LA Aqueducts (LADWP)

“ 390 million m³/yr

“ Elizabeth Tunnel

“ Colorado River Aqueduct (MWD)

“ 900 million m³/yr

“ Multiple fault ruptures & ~ 4 m uplift



LOS ANGELES AQUEDUCTS

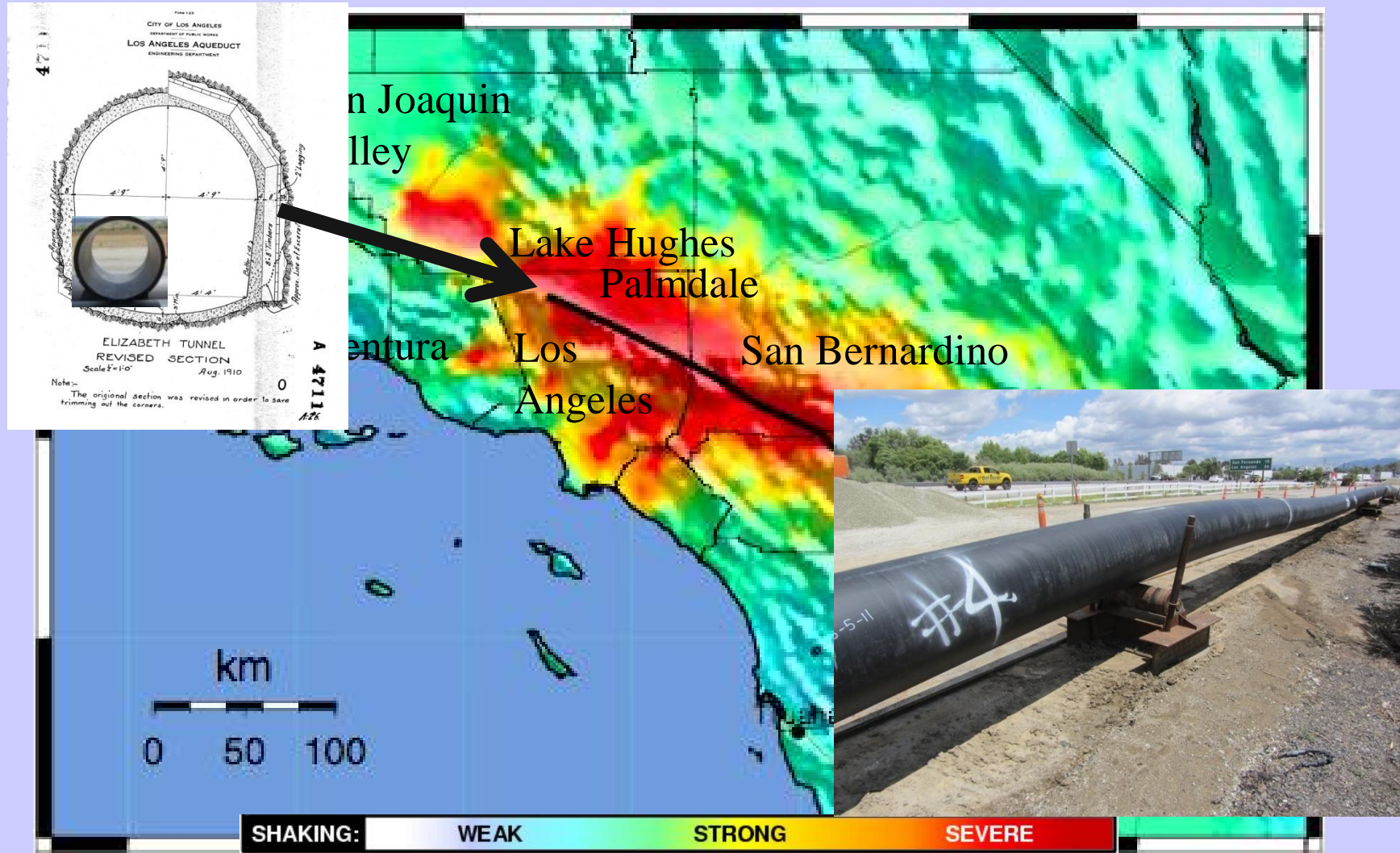


” 3.3m Horizontal Fault Displacement

” 2.9m Wide Elizabeth Tunnel
” Cuts off tunnel

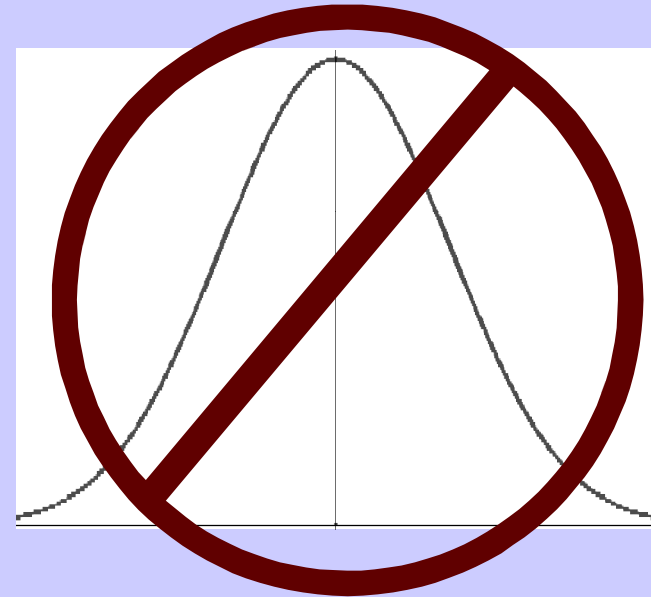


LA WATER SUPPLY CROSSES SAN ANDREAS FAULT



OBSERVATIONS

- “ **Anything But Normal**
 - “ **Target nuclear failure probability $\approx 1 \times 10^{-6}/\text{yr}$**
 - “ **5 major nuclear releases in 14,000 reactor years = $3 \times 10^{-4}/\text{yr}$**
 - “ **Probability tails control**
- “ **Problems Compounded by Institutional Constraints, Politics, Lack of Perspective, & Dysfunction**



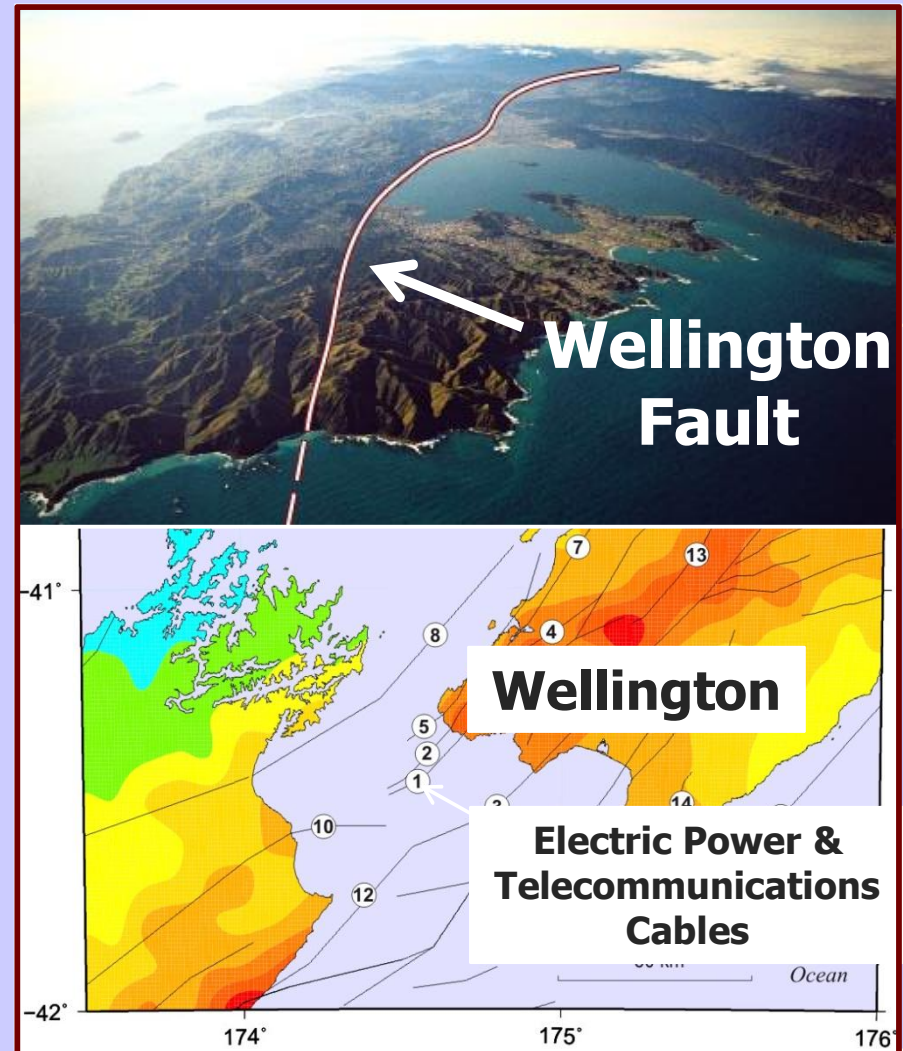
NEW NORMAL

- “ **Too Big To Fail**
 - “ **Reassess Risk Related to Critical Infrastructure**
 - “ **Reassess & Identify *Critical* Infrastructure**
- “ **Local Coalitions**
 - “ **Coalitions to Protect Critical Infrastructure Too Big to Fail**
- “ **Punctuated Resilience**

WELLINGTON SEISMIC RISK

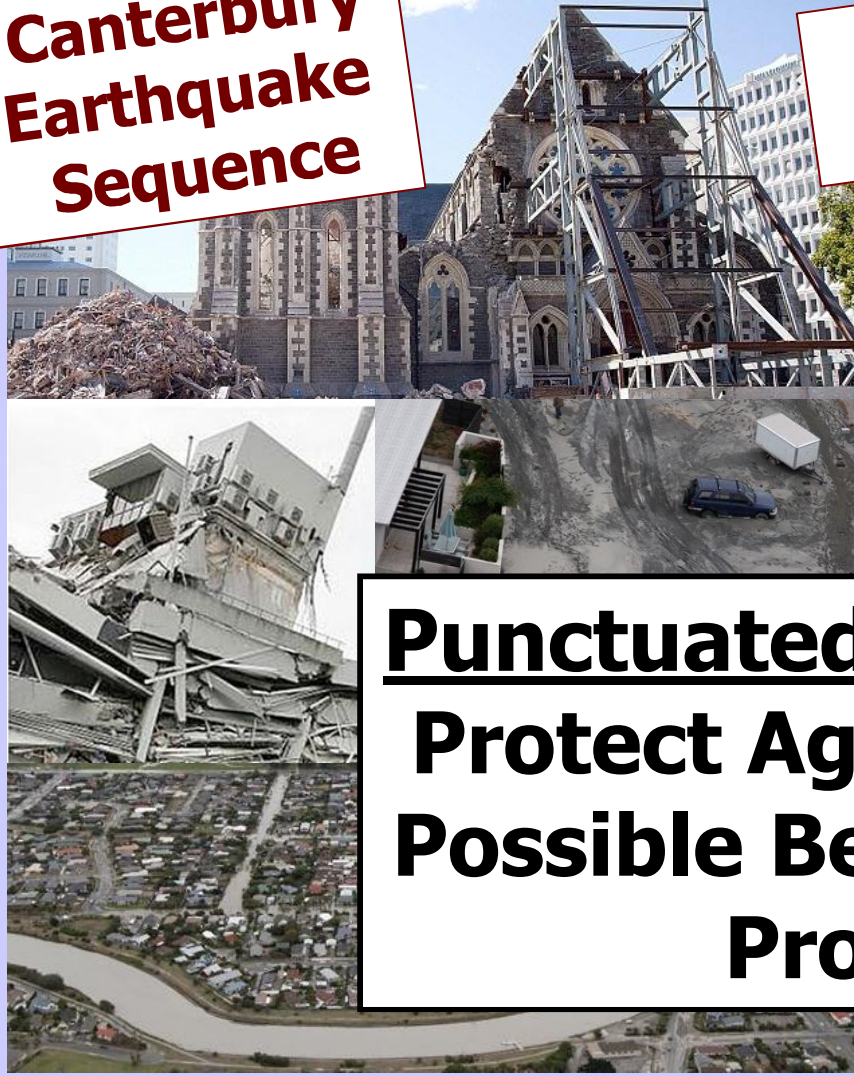
“ Urgent Need to Apply Christchurch Lessons

- “ Harbor Facilities
- “ Water Supply
- “ Fire Hazards
- “ Major Highways
- “ Electric Power System
- “ Telecommunications
- “ National Government



NEW NORMAL FOR NATURAL DISASTERS

Canterbury Earthquake Sequence



Tohoku Earthquake



**Punctuated Resilience to
Protect Against What is
Possible Beyond What is
Probable**